## University of Maine at Farmington

## 2025 Annual Security and Campus Safety Report and Fire Safety Report



For the Students, Faculty, and Staff of the University of Maine at Farmington

University of Maine at Farmington (UMF)
Department of Public Safety/Campus Police
149 Quebec Street
Farmington, Maine 04938
207-778-7400

This report includes campus safety policies, procedures and statistics concerning campus crime for the calendar years 2022, 2023, and 2024. It is for the information of students, employees, parents, prospective students, and prospective employees. This report describes how the UMF Department of Public Safety/Campus Police responds to crime and how students, faculty, staff can work together to maintain a safe community. Also included in this report is the Annual Fire Safety Report. If you would like a paper copy of this report, please call 207-778-7400 or come to our office at 149 Quebec Street, Farmington, Maine 04938

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# **Annual Campus Crime Report:**

Safety and Security Information

The UMF Department of Public Safety/Campus Police is located at 149 Quebec Street, Farmington Maine 04938. The phone number is 207-778-7400. The Department reports to the Vice President for Student Affairs/Enrollment Management. The UMF Department of Public Safety / Campus Police staff includes:

- Director of Public Safety/Chief of Police
- Administrative Specialist
- 2 Police Sergeants
- 2 full-time Police Officers
- 9 Reserve Police Officers
- 8 Security Escort Staff (Student Employees)
- 4 Security Office Assistants (Student Employees)

The department provides protection and services 24 hours a day, 7 days a week throughout the calendar year.

Police Officers at UMF have full law enforcement authorization on all property owned or controlled by the University of Maine at Farmington. The Police Officers of the UMF Department of Public Safety/Campus Police receive the enforcement authority, via the provisions of Public Law 1971, Chapter 544, Section 142, as amended, and the Board of Trustees grants each campus President, or his or her appointed representative, the authority to appoint persons to act as Police Officers at that campus. All full time Police Officers are trained as any other full time Police Officers in the State of Maine.

UMF Department of Public Safety/Campus Police Officers patrol the campus 24 hours a day using a police cruiser and on foot. During the academic year, UMF Department of Public Safety/Campus Police provides a student intra-campus escort service during nighttime hours (dusk until dawn).

The UMF Department of Public Safety/Campus Police has a trained Public Safety Administrative Specialist during the daytime hours (Monday thru Friday 8am - 4pm, excluding holidays and University closures), and relies on the Franklin County Communication Center for E-911 dispatching. The Franklin County Communication Center instantly dispatches the appropriate response to a call for service as UMF Campus Police Officers are in constant communication, via portable radio or telephone.

The UMF Department of Public Safety/Campus Police maintains and operates an additional Satellite Office in the Olsen Student Center, which is located at 111 South

Street in Farmington. The Satellite Office allows for more visibility with the UMF community and access for walk-in visits, if need be.

#### **Cooperative Law Enforcement Functions**

UMF Department of Public Safety/Campus Police Officers are full time police officers and have powers of arrest. They work with and have mutual aid agreements with Farmington Police Department and Franklin County Dispatch. UMF Department of Public Safety/Campus Police investigate all criminal matters on campus and will work with relevant law enforcement agencies in solving crime.

#### **Reporting Criminal Activities or Emergencies**

UMF community members and guests who wish to report criminal activities may do so in a number of ways. Emergency calls should be made by dialing 911. When calling 911 from a university phone, the call rings into the UMF Department of Public Safety/Campus Police office and shows which extension it is dialed from and then rings through to the Franklin County Communication Center (dispatch). This process allows the on duty UMF Campus Police Officer to mobilize before the call is even completed.

The Franklin County Communication Center personnel are fully trained in 911 procedures and Emergency Medical Dispatching. They will keep the caller on the line to communicate instructions in the event of a medical emergency.

Persons may use any of the 23 life safety phones (emergency call boxes and emergency elevator telephones) that are strategically located around campus. The external emergency call boxes (excluding the emergency elevator/chair lift phones) can provide a direct emergency line to the Franklin County Communications Center when the red button is pushed and the caller can contact the UMF Department of Public Safety/Campus Police directly by dialing 778-7400 or 311 on the keypad. Callers can only contact the UMF Department of Public Safety/Campus Police directly on the emergency elevator/chair lift phones. The external emergency call boxes are visible and most are marked with a blue light above them. Anyone may use the life safety phones to report a criminal incident, a fire or other types of emergencies or to request assistance of any kind from the UMF Department of Public Safety/Campus Police. Phone jacks are also available in each residential room on campus, but it is students' responsibility to plug in standard phones.

Persons desiring more confidentiality may come to the Public Safety Office at any time of the day or night to report a crime. Persons may report criminal activity to the UMF Department of Public Safety/Campus Police, The UMF Deputy Title IX Coordinator, the

Farmington Police Department, the Franklin County Sheriff's Department and/or any UMF Campus Security Authority (CSA).

If a person calls to report a crime, he or she will need to provide the following information:

- Full name, date of birth and contact information (cell phone number preferred).
- Location and description of the incident reported.
- A description of any vehicles or suspects involved in the incident.

If someone suspects a crime is being committed or has been committed, they are encouraged to call the UMF Department of Public Safety/Campus Police immediately at 207-778-7400 or by dialing 911.

When a crime is reported to UMF Department of Public Safety/Campus Police or other appropriate officials of the University, it will be investigated by the UMF Department of Public Safety/Campus Police. In addition, if a suspect of a crime is a student, there may be an inquiry through the UMF Title IX Coordinator, the Office of the Vice President for Student Affairs and Enrollment Management or the Department of Student Life (Student Conduct Officer). If the suspect is an employee of the University, an inquiry may be conducted by the Office of Human Resources and/or other appropriate university officials. It is the policy of the University to take every report of criminal activity seriously and to take appropriate action, consistent with applicable law.

#### To Report A Crime:

To report a crime: Persons may contact the UMF Department of Public Safety/Campus Police at 207-778-7400, dial 911 (for emergencies only) or by using any of the life safety phones (emergency call boxes, emergency elevator phones and emergency chair lift phones) located throughout the campus. Any suspicious activity or person(s) seen in the parking lots loitering around vehicles, inside buildings or around the residence halls should be reported immediately to the UMF Department of Public Safety/Campus Police. In addition, reports of a crime may be reported to the following individuals:

- Christine Wilson Vice President for Student Affairs and Enrollment Management – 207-778-7087
- Brian Ufford Director of Student Life / Student Conduct Officer 207-778-7334
- Yetunde Ajao Assistant Director of Student Life for Training and Community Engagament – 207-778-7188
- Duncan Farley Area Director of Student Life 207-778-7410

- Gabriella Fultz Area Director of Student Life 207-778-7488
- Sarah Carew Housing and Deputy Title IX Coordinator 207-778-7372
- Amie Parker Senior Director of Human Resources and Institutional Equity (University of Maine System) – 207-592-3618
- Steven Quackenbush/Katherine Yardley Associate Provosts 207-778-7154
- Sarah Carnahan Director, Mental Health and Counseling Services 207-778-7091
- Jamie Beaudoin Director of Athletics 207-778-8168
- Jennifer Pageot Associate Director of Fitness and Recreation Center 207-778-7495
- Scott Foster Director of Facilities Management 207-778-7009
- Kirsten Swan Director of Student Leadership and Engagement 207-778-7593
- Shelley Hickey Director of Student Health Clinic 207-778-7200
- Patrick Kelley Director of Disability Services 207-778-7295
- Ashlee Fecteau Director of TRIO/SSS (Johnson Scholars) 207-778-7565
- Elizabeth Hansen Roosa Director of TRIO/Upward Bound 207-778-7297
- UMF Department of Public Safety/Campus Police 207-778-7400
- Farmington Police Department 207-778-6311
- Franklin County Sheriff's Department 207-778-2680

The UMF Department of Public Safety/Campus Police and the Farmington Police Department have a mutual aid and working agreement. Each department augments the other within their jurisdictions during mutual investigations, arrests, and prosecutions. UMF Campus Police personnel communicate directly with all other local law enforcement agencies to exchange ideas and problems that may be of concern for the campus community.

#### **Anonymous Reporting**

Members of the campus community can receive confidential support with Title IX concerns by going to the Mental Health Counselors with UMF Mental Health and Counseling Services and/or the Medical Staff at the UMF Student Health Center.

The UMF faculty and staff members identified above are not required to report the incident to the UMF Director of Public Safety/Chief of Police. If they do decide to report these incidents confidentially, then the appropriate information, such as, the type of crime, when the crime occurred and where the crime occurred, will be properly documented in the Maxient records management system by the Title IX Coordinator, Deputy Title IX Coordinator and/or Student Life Staff. As the designated Campus Safety Security Administrator (CSSA) for the University, the Director of Public Safety/Chief of Police has access to Maxient for proper collection and disclosure of annual crime statistical data for the University's Annual Security Report, in accordance with the federal Clery Act. The UMF staff/faculty member will then ensure the reporting party receives information about the appropriate resources available to them (i.e., the Deputy Title IX Coordinator's contact information) and will assist them with contacting our various coalition partners if necessary. If a report is made anonymously, the University will take steps to investigate based on the information that is provided, recognizing that an anonymous report may limit the scope of the investigation.

The University of Maine at Farmington maintains a coalition partnership with the Sexual Assault Prevention and Response Services (SAPARS), Safe Voices (Local Victim Advocacy Organization that provides support services for victims of Domestic Violence and Sex Trafficking / Exploitation), Franklin Memorial Hospital and Western Maine Behavioral Services.

If the individual filing the report requests a criminal investigation, an officer from the UMF Department of Public Safety/Campus Police will investigate immediately. If the individual does not want a criminal investigation to occur, but would like administrative action taken, then the Deputy Title IX Coordinator will start the investigation process under the University of Maine System's Sex Discrimination, Sexual Harassment, Sexual Assault, Relationship Violence, Stalking and Retaliation and Title IX Sexual Harassment policy.

#### **Criminal Activity off Campus**

When a UMF student is involved in an off-campus offense, officers may assist with the investigation in cooperation with local, state or federal law enforcement. Farmington Police Department routinely works and communicates with the UMF Department of

Public Safety/Campus Police on any incidents occurring on-campus or in the immediate neighborhood or businesses surrounding the University. UMF does not offer off-campus housing or off-campus student organization facilities. However, many students live in the neighborhoods surrounding UMF. While the Farmington Police Department has primary jurisdiction in all areas off of campus, the UMF Department of Public Safety/Campus Police can and will respond to student-related incidents that occur in close proximity to campus, when requested by Farmington Police Department and/or Franklin County Dispatch. The UMF Department of Public Safety/Campus Police maintains direct radio communications with local area police departments, local area fire departments and ambulance services in order to facilitate rapid response in any emergency situation. Any off-campus incidents that are reported to the UMF Director of Public Safety/Chief of Police will be referred to the Vice President for Student Affairs and Enrollment Management, the University Student Conduct Officer and/or the Office of Human Resources for administrative action under the Student or Employee Conduct Code.

## **Building and Grounds Security**

All public facing buildings and facilities are accessible to the campus community and guests during normal business hours, 8am- 4:30pm, Monday thru Friday. Exterior doors of campus buildings are locked after normal business hours by the University's electronic door locking system (Transact) and/or will be manually locked and unlocked by the UMF Department of Facilities Management and the Department of Public Safety/Campus Police personnel daily. Only "entrance" only doors will be unlocked/locked daily, all "exit" only doors stay locked and not be propped open to ensure the integrity of the building's physical security. In collaboration with the Coordinator of Conferences and Events and the Facilities Management Department, a weekend report is disseminated to the Director of Public Safety/Chief of Police, who will assign the on-duty weekend Campus Police Officers with the task of manually unlocking and locking certain building entrances and specific rooms for groups that have requested or reserved these various spaces. These are rooms and buildings that do not have on-duty Facilities Management personnel working in them during the opening and closing times. All other requests that are outside normal business hours or on weekends are handled by the UMF Department of Facilities Management. The UMF Department of Public Safety/Campus Police routinely patrols campus, to include conducting building security checks. Entry after normal hours is available to faculty, staff and authorized students, as determined by the appropriate academic or administrative offices.

For the protection of our community, certain academic, administrative, and other buildings with high-volume traffic have clear signage marking exterior 'Entrance' or 'Exitonly' doors. By limiting the number of doors used for normal entry, emergency lockout procedures (both automated and/or manual) can be initiated in compliance with the requirements of the National Fire Protection Association (NFPA) 101, Life Safety Code.

Additionally, UMF Department of Public Safety/Campus Police will routinely report security problems with exterior building doors on-campus to the UMF Department of Facilities Management. If an issue is detected, which may present a security hazard, Facilities Management is immediately notified by the on-duty Campus Police Officer, via a Facilities Management emergency contact roster or electronic work order, to address the issue in a timely manner.

Certain buildings are alarmed for the protection of contents and personnel and the alarms are activated when any unauthorized entry is made. Immediate response to the alarm location is initiated by UMF Department of Public Safety/Campus Police.

The University of Maine at Farmington is committed to proper building and grounds maintenance ensuring a safe level of exterior lighting. UMF Department of Facilities Management maintains the university buildings and grounds with an eye towards safety and security. Facilities staff inspect campus facilities regularly, promptly making repairs affecting safety and security, and responding to reports of potential safety hazards, such as lights needing replacement, and broken windows and/or locks.

Adhering to a safe level of lighting commitment, the University of Maine at Farmington continues to upgrade exterior lighting with notable incremental improvements throughout the campus. UMF Department of Public Safety/Campus Police routinely surveys existing lights during their routine mounted and dismounted (foot) patrols and submits requests for maintenance of existing lights and for additional illumination. Individuals are encouraged to report any exterior lighting deficiencies by calling the UMF Department of Facilities Management directly at 207-778-7006 or submitting an electronic work order at <a href="https://apps.maine.edu/FMRequests/request.xhtml">https://apps.maine.edu/FMRequests/request.xhtml</a>.

UMF Department of Facilities Management and the UMF Department of Public Safety/Campus Police share responsibility for compiling an on-going list of improvements and maintenance for fire/life safety systems. UMF Department of Facilities Management works with departments to manage hazardous materials, Material Safety Data Sheet compliance, and hazardous waste removal. Generally, any hazards such as asbestos, lead paint abatement, and fuel storage tank regulation issues are resolved through the effort of the UMF Department of Facilities Management and contracted experts.

The University of Maine at Farmington works to ensure that security is given the appropriate consideration as we address and schedule routine maintenance and make upgrades to facilities. Grounds keeping personnel trim shrubs from sidewalks, walkways, and building entrances to provide a well-lighted route to buildings.

UMF Department of Facilities Management conducts regular and routine inspections and provides maintenance to campus buildings and grounds. These inspections include, but are not limited to, campus landscaping, locks, and lighting. Ground

maintenance includes the trimming of trees and shrubs that might be a hazard to the safety of students and other visitors on campus, especially at night.

## **Access to Campus Residence Halls**

All residence halls are secured 24 hours a day, 7 days a week and equipped with an electronic card access system that allows authorized students to gain access to a building by tapping their UMF Student ID on a proximity card reader located at designated exterior doors. The hosting student would be required to register their guests with the UMF Department of Student Life on-duty staff at the Residence Hall Community Assistant Office and with the UMF Department of Public Safety/Campus Police for their overnight guest parking decal. Unscheduled patrols of the residence halls may also be conducted by the UMF Department of Public Safety/Campus Police.

Emergencies may necessitate changes or alterations to any posted schedules. Areas that are revealed as problematic have security surveys conducted. Administrators from the President's Office, Facilities Management Department, Student Life and other concerned areas review these results. These surveys examine security issues, such as landscaping, locks, alarms, lighting and communications. Additionally, during the academic year, the UMF Directors of Facilities Management, Department of Student Life and the Department of Public Safety/Campus Police meet on a case-by-case basis to discuss issues of pressing concern.

Campus Police Officers perform regular building checks each and every shift. Area Directors (A.D.'s) and Community Assistants (C.A.'s) from the Department of Student Life are assigned to each residence hall. Safety and security checks made in the residence halls are conducted randomly per shift (3 eight-hour shifts per day) to determine and detect any damage to facilities and any suspicious activities.

#### **Crime Prevention Resources and Service**

Crime prevention programs play an important and significant role within the UMF campus community. UMF Department of Public Safety/Campus Police operates under the assumption that they are a component of the educational process for students, faculty and staff within this community. The campus community as a whole are responsible for the reduction of crime and crime prevention.

Crime prevention programs and sexual assault prevention programs are offered on a continual basis. Periodically during the academic year, the UMF Department of Public Safety/Campus Police, in cooperation with other university departments, university organizations and coalition partners, presents crime prevention awareness training sessions on sexual assault (rape, including acquaintance rape), substance abuse awareness, bystander intervention training and safety tips for personal safety and residence hall security. A common theme of all awareness and crime prevention

programs is to encourage students and employees to understand their responsibility for their own safety and how to positively impact the safety of others.

In addition to training sessions, information is disseminated to students and employees through crime prevention awareness packets, social media sources, security alert posters, bulletin boards, articles and advertisements in university and student newspapers. When time is of the essence, information is released to the UMF community through security alerts posted prominently throughout campus, through computer memos sent over the university's electronic mail system and cell phone instant messaging.

The following is a listing of the crime prevention programs and projects offered at the University of Maine at Farmington:

#### Orientation:

During each Student Orientation (every January and August), students are informed of the services offered by the UMF Department of Public Safety/Campus Police, as well as local (town of Farmington) crime and local law enforcement. The UMF Department of Public Safety/Campus Police assists with traffic control during Centralized Check-In.

#### Bystander Intervention Training

The Campus Advocacy Peer Educators (CAPE) program/now Mental Health and Counseling Services Peer Educators (as of Spring 2025), with supervision from Gavin Pickering, LCPC, provides a pro-social behavior and bystander intervention training that educates students to be proactive in helping others. This bystander training program is offered to on-campus departments and student organizations and groups as well as to first year students through health and physical education classes.

In 2016 they applied for and won an NCAA grant to begin Bystander Intervention education training. Representatives from the Student Health Clinic, Athletics, and a student representative attended the training. The plan was to implement health education for students the following semester.

In 2017 UMF focused on introducing the Step-Up Bystander Intervention program to campus with the help of professional staff and at least 8-10 additional volunteers, including Health Initiative students. Starting with the Community Advisor's (CA) training program in August 2017, they made presentations to numerous groups throughout the year, including the following: Student Senate,

all Varsity teams and some club teams (ex: Rugby), and other student clubs and organizations. Other types of education included posters, and "table top" education in the dining halls, library, snack bar, etc.

In 2018, the primary health education focus was bringing the Bystander Intervention message to the campus community. Promoting Step Up will assist with the development of prosocial behavior needed to help prevent problems, address injustice, and to build the caring campus community we all want. Student Health Center frequently gets feedback on the Facebook page or in person from students who attended a program who then stepped up to help a student in need; making sure that an intoxicated student got home safely or speaking up in a situation where something said was inappropriate.

The Health Advocate team of students presented the Step-Up program to numerous groups in 2018. These included the CA's, Student Senate, Alpha Phi Omega (APO), and multiple sports teams. Starting in the Spring Semester, a collaborative effort was implemented with Alison Thayer (Fitness and Recreation / Instructor) targeting the required Physical Health Education (PHE) course. All PHE students were required to attend a Step-Up program which will be scheduled on a Friday during their first year at UMF.

Besides using the table tops and bulletin boards to promote health education messages, the Step-Up team was able to make and give two additional programs which tie into the overall health and safety message. In October 2018, we presented a "Halloween Pre-game" program. The focus was to educate students before the first UMF dance of the year about risk-reduction involving alcohol, drugs, and sexual assault.

In April 2018, with cooperation with the Rainbow League (a student organization), we presented "How to be an Ally." We participated in the mental health awareness "Fresh Check Day" in collaboration with Active Minds. At the end of each of the Fall and Spring Semesters in 2017 and 2018 we organized a fun, stress-reducing event for campus. The goal was to continue to expand the Bystander intervention message with more specific issues (i.e.: relationship abuse, sexual harassment, sexual assault, anger, alcohol misuse and alcohol poisoning, and depression).

In 2019 the Bystander Intervention program changed supervision and moved to the Center of Student Development under supervision of Gavin Pickering. Step-Up continued involvement with presentations to PHE 100 classes and participation with programming on campus including Fresh Check Day and a meet and greet program presented by the Multicultural Club. Step-Up students also continued "table-top" education in the dining hall, library, and snack bar. Step-Up also presented a safe relationships program near Valentine's Day and supported the Vagina Monologues which was a collaborative effort with Counseling Services, Clef Notes, and SAPARS.

Step-Up continued into 2020 providing PHE 100 presentations both in person (physically distanced) and a virtual presentation created by students. These students worked to modify/modernize the presentation and train new student workers and volunteers to present this material. Students presented a suicide awareness/prevention vigil in coordination with the American Foundation of Suicide Prevention and had a guest speaker from AFSP. Step Up planned an alcohol awareness program for October 2020 but had to cancel due to physical distancing restrictions. In line with COVID safety protocols, Step-Up also held a Fresh Check Day virtual event in Spring 2021. These bystander interventions continued into this year, 2024/25.

Bystander Intervention presentations continued being provided through PHE 100 during the 2024-25 academic year. In person were offered in the fall, spring presentations were provided via recording due to limited capacity of student employees. Students provided tabling events monthly, as well as an in person Fresh Check Day, which was very well attended. There was also a more active social media presence. Collaboration with AFSP continued, with an emphasis on the "Seize the Awkward" campaign. Students provided support to the Queer Student Union and the decompression space in Franklin Hall.

Due to changes introduced by the Campus Safety Project, Bystander Intervention has shifted from Step-Up to an umbrella program called Campus Advocacy Project in 2022- this program was renamed to Mental Health and Counseling Services Peer Educators in the spring of 2025.

Based on shifts in language, this program lost the CAPE title and will be working on a new program under the Mental Health and Counseling Services department and work on providing student information campus-wide, not limited to health/PHE classes.

## • UMS Compliance Track Online Training

The University of Maine System requires all employees, managers, student

employees and student supervisors to conduct annual mandatory training. The online trainings include the following seven modules: Basic Safety, Conflicts of Interest, Federal Education Rights and Privacy Act (FERPA), Information Security, Sexual Harassment Prevention, Title IX and Information, Information Communication and Technology (ICT) Accessibility Awareness. This training track was updated to include Active Shooter Preparedness and for the 2022-2023 academic year. The Communication and Technology Accessibility Awareness workshop has been updated and is now called Digital Accessibility, and the Sexual Harassment Prevention and Title IX workshop has been updated and is now called the Sex Based Harassment Prevention workshop. There is also a Safety Refresher workshop.

#### • Residence Hall Safety Awareness

At the beginning of each semester, the UMF Department of Public Safety/Campus Police conducts a mandatory campus security authority training with the Student Life staff. Crime prevention, safety and security programs are presented in each residence hall upon request by the Community Assistants. The Student Life staff conducted the following programming in the Residence Halls:

- "Cookies are Really Awesome"- Student staff Purington Hall partnered with UMFs Confidential Resource Advisor (CRA) and baked cookies with residents while discussing what a CRA is, and how to create and navigate relationships.
- ➤ Plant Parenthood- Student staff in Stone Hall held a plant propagating program where residents could get their own plant, while also learning about safe sex practices.
- ➤ **UMF Dorm Crawl** Student staff in Dakin, Stone, Purington, Lockwood, and FAB Halls held a large-scale event to educate students on alcohol consumption.
  - Dakin- Students had the opportunity to learn how fast alcohol can start to affect your body playing a "shot" timing game. Residents took a small cup of water and had to wait "30 minutes" (30 seconds for the sake of the game), and then take another "shot" of water. This was to showcase that it takes alcohol around 30 minutes or so to start processing into your body, and that most people tend to drink multiple drinks in a row very quickly, which can cause someone to become overly intoxicated.
  - Lockwood- Residents had the chance to read fast facts

- about the effects of alcohol and how it affects the body.
- Stone- Residents were made drinks from "unmarked bottles" (lemonade and ginger ale), and then were talked to about the dangers of drinking unknown substances.
- FAB- Residents had the chance to drink mocktails and learn with the virtual bartender tool what are standard drinks.
- Purington- Residents ended their "crawl" by stopping by and playing Mario Kart with "drunk" goggles. This was to showcase how being under the influence affects your ability to drive, as well as highlighting how it is important to have a plan in place on how to get home if you are going to be drinking.
- ➤ "New Years Party"- Student staff in Scott Hall held a program to provide residents with information, educational resources, and general safety information in regard to the consumption of alcohol
- ➤ Field Sobriety Test- Students in Scott Hall learned different information about alcohol and how to stay safe if consuming alcohol. Students completed a "drunk" goggle obstacle course, and a mock sobriety test while wearing the "drunk" goggles.
- "Water Pong"- Student staff in Scott Hall held an event where students tossed ping-pong balls into solo cups of water, in order to remove the cup and progress in the game, students had to correctly answer facts around alcohol safety.
- ➤ Virtual BarTender- Student staff in Scott Hall made mocktails for students and showcased the effects the drink would have had on them if it contained alcohol.
- "Beach Bash" Scott Hall Alcohol Program- Students in Scott Hall learned different information about alcohol and how to stay safe if consuming alcohol, completed a race of Mario Kart while wearing drunk goggles, did obstacle courses while wearing drunk goggles, and made mocktails while answering alcohol safety facts.
- "Dream Daddying" Staff in Scott Hall held a program in collaboration with Title IX where students played a dating game while also learning about consent, sexual health, and safe sex practices through discussion and flyers.
- **UMF Escort Program:** The UMF Escort Program, under the direct supervision of the UMF Director of Public Safety/Chief of Police, provides a night time escort service to students, employees and guests. This service is available during night time hours and escort requests can be made by calling 207-778-7400. This

service provides an escort to individuals walking from one point on campus to another point on campus.

- Printed Crime Prevention Materials: The UMF Department of Public Safety/Campus Police prints and distributes numerous brochures and handouts relating to sexual assault prevention, crime prevention, Residence Hall safety tips and emergency reporting procedures. These handouts are provided to all firstyear students at Centralized Check–In and also posted on residence hall bulletin boards.
- Crime Prevention Presentations: Officers will conduct crime prevention and substance abuse awareness programs in Residence Halls and elsewhere on campus throughout the year, upon written request to the UMF Director of Public Safety/Chief of Police. The Police Sergeant coordinating the event will meet with the faculty, staff or event organizer and develop the appropriate campus safety informational presentation, catering to the audience.
- Event Security: UMF Department of Public Safety/Campus Police works with
  event organizers to ensure that events are being held safely for participants.
  Student employees hired and trained as Event Security are available upon
  written request and will assist Campus Police Officers at the Halloween Dance,
  the Spring Fling Dance, the Multi-Cultural Dance, and other events during Spring
  Fling weekend, if need be.
- Social Media: The UMF Department of Public Safety/Campus Police will post
  public safety and University related notifications, events and/or status updates on
  its Facebook page (University of Maine at Farmington Campus Police
  Department) and Instagram (UMF Campus Police / #umfpubsafealerts). These
  notifications include parking information, parking bans, road closures for events,
  UMF sporting or special events being held on campus, new State Law updates,
  events being held around Franklin County (non-UMF specific), etc.

## **University Alcohol and Drug Policy**

University of Maine at Farmington observes all laws governing the use of alcohol and other drugs and does not condone violation of these laws by any student at any time. Students are held personally responsible for complying with all aspects of Maine law. The prohibitions of Maine law include, but are not limited to the following:

• The sale of alcoholic beverages by any person who does not have a license to sell such beverages in full force and effect at the time of sale.

- The sale of alcoholic beverages by any person to a person who has not attained 21 years of age.
- Consumption or purchase of alcoholic beverages by any person who has not attained 21 years of age.
- Transportation by automobile within the state of Maine of alcoholic beverages by any person who has not attained the age of 21 years.
- The furnishing of, procurement, or delivery of alcoholic beverages to a person who has not attained the age of 21 years or who is intoxicated.
- Allowing any minor under the control of another person, or in any place under the control of that person, to possess or consume alcoholic beverages.
- The presentation of any written or oral evidence of age which is false, fraudulent or not their own for the purpose of ordering, purchasing or attempting to purchase or other otherwise procuring or attempting to procure intoxicating liquor by a person who has not attained the age of 21 years.

University of Maine at Farmington does not shield its students from the law or from the consequences of their own behavior.

The University of Maine at Farmington has been designated "DRUG FREE." The possession, sale, manufacture, or distribution of any controlled substances is illegal under both state and federal laws. Such laws are strictly enforced by the UMF Department of Public Safety/Campus Police.

Violators are subject to university disciplinary action, criminal prosecution, fine and/or imprisonment.

Educational sanctions are given to students who are found responsible for violating the University of Maine System Student Code of Conduct related to drug and alcohol policies. These sanctions include:

- An alcohol awareness and prevention seminar facilitated by the Healthy Community Coalition of Greater Franklin County.
- Creation of an alcohol and/or drug information and prevention bulletin board that is put up in the residence halls.
- Creation of an alcohol and/or drug information and prevention program that is put on in the residence halls.
- A reflection paper and success plan describing the incident that occurred, what they learned from the incident and conduct process, and how they can prevent it from happening again in the future.
- Community service that relates to the policy violated and that helps pay back to the community that was affected by the policy violation.

#### **Alcohol Awareness and Education**

The UMF Department of Student Life engages in numerous alcohol education and awareness activities. Information is conveyed to students through residence hall newsletters, hall and floor meetings and bulletin boards. The UMF Department of Student Life offered and/or sponsored numerous alcohol awareness programs (see list above for specific events). Community Assistants in every hall offered programs, such as looking at the physical and social effects of alcohol and possible legal ramifications with drinking and driving. Alcohol educational bulletin boards are displayed in the halls to provide policy and education information.

In compliance with the Drug Free Schools and Communities Act and the Drug Free Workplace Act, the University publishes and distributes annually a booklet, "Alcohol and Drugs, What every Student and Employee Should Know about the Use and Abuse of Alcohol and Illegal Drugs," which informs all students and employees of UMF's substance abuse policy, sanctions for violation of the policy, and state and federal alcohol and drug offenses and sanctions.

#### Policy on Alcohol and Illegal Drugs for Employees

The UMF Department of Public Safety/Campus Police is committed to providing a safe and orderly environment for all members of the campus community. To accomplish this goal, the University advises all members that it is unlawful to manufacture, distribute, dispense, possess and/or use illegal drugs on UMF property. Any violation of this policy or state and federal laws regarding drugs may result in prosecution or other campus disciplinary action. The University of Maine at Farmington views alcohol and substance abuse as a serious problem.

In compliance with the Drug Free Schools and Communities Act and the Drug Free Workplace Act, the University publishes and distributes annually a booklet which informs all students and employees of UMF's substance abuse policy, sanctions for violation of the policy, and state and federal alcohol and drug offenses and sanctions. For a copy of the booklet, "Alcohol and Drugs, What every Student and Employee Should Know about the Use and Abuse of Alcohol and Illegal Drugs," contact the UMF Human Resources Department, 207-778-7271.

Employee violations of these policies will be reported to the Director of Human Resources. In the case of members of the faculty found to be in violation, a report will also be filed with the Provost and Vice President for Academic Affairs for review and

action. Employees are subject to appropriate sanctions that may include referral to standing procedure for termination of employment.

Unlawful possession, use or distribution of illicit drugs or alcohol may involve the above sanctions, according to the severity of the infraction and the kind of involvement of the employee. All employees involved may be required to undergo professional assessment by a substance abuse professional designated by the University to determine whether they are involved in substance abuse or addiction. In case of dependency, the University may treat the case as a disability.

## **Policy Statement Addressing Counselors**

As a result of the negotiated rulemaking process which followed the signing into law the 1998 amendments to 20 U.S.C. Section 1092 (f), clarification was given to those considered to be campus security authorities. Campus "Professional Counselors", when acting as such, are not considered to be campus security authorities and are not required to report crimes for inclusion into the annual disclosure of crime statistics. As a matter of policy, they are encouraged, if and when they deem it appropriate, to inform persons being counseled of the procedures to report crimes on a voluntary basis for inclusion into the annual crime statistics.

**Professional Counselor**: A person whose official responsibilities include providing mental health counseling to members of the institution's community and who is functioning within the scope of the counselor's license or certification. This definition applies even to professional counselors who are not employees of the institution, but are under contract to provide counseling at the institution.

UMF does not provide confidential **pastoral counseling** or support at this time.

The professional counselors at the University of Maine at Farmington are available through the office of Mental Health and Counseling Services, and counselors are supervised by the Director, Sarah Carnahan, LCSW. Counseling Center Staff have participated in the following coalitions and events to assist the University with alcohol and substance abuse awareness:

- Substance Free Community Coalition Coordinated by the Healthy Community Coalition.
- ➤ The Director of Counseling Services participated in the NCO Tobacco Referral Training.

## **Timely Warnings**

In compliance with the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act), the UMF Department of Public Safety/Campus Police will post timely warnings to notify members of the community about serious crimes that occur within the University's Clery and Patrol geography (reasonably contiguous). Knowledge of such crimes will assist community members in making informed decisions about their personal safety and in preventing similar crimes from occurring. These warnings will be posted if a serious crime on campus constitutes an ongoing or continuing threat to the community.

The decision to issue a timely warning shall be decided on a case-by-case basis in compliance with the Clery Act. The decision is made by the UMF Clery Compliance Team (the Director of Public Safety/Chief of Police, the Vice President for Student Affairs and Enrollment Management and the Deputy Title IX Coordinator) after considering the following criteria: (1) one of the below listed crimes are reported; (2) the perpetrator has not been apprehended; (3) there is a substantial risk to the safety of other members of the campus community because of this crime; and (4) possible risk of compromising law enforcement effort. Consultation with the UMF Crisis Management Team Incident Command and/or the University of Maine System General Counsel on the issuance of a Timely Warning may occur.

University of Maine at Farmington Department of Public Safety/Campus Police issues timely warnings for the following incidents:

- Murder and Non-negligent Manslaughter,
- Manslaughter by Negligence,
- Sex Offenses (Rape, Fondling, Statutory Rape, Incest),
- Aggravated assault,
- Arson,
- Burglary,
- Robbery,
- Motor Vehicle Theft,
- Hate Crimes,
- VAWA Offenses (Dating Violence, Domestic Violence, and Stalking),
- Other crimes as determined necessary by the UMF Clery Compliance Team.

# University of Maine at Farmington Department of Public Safety/Campus Police does NOT issue a timely warning for the above listed crimes if:

- The suspect(s) has (have) been apprehended and the threat of imminent danger to the campus community has been mitigated by said apprehension.
- If a report was not filed with UMF Department of Public Safety/Campus Police or if UMF Department of Public Safety/Campus Police was not notified by campus

- security authorities in a manner that would allow the department to post a "timely" warning to the community.
- Unless there are extenuating circumstances, a report that is filed more than five days after the date of the alleged incident may not allow the UMF Department of Public Safety/Campus Police to post a "timely" warning to the community. This type of situation will be evaluated on a case-by-case basis.

A timely warning and updates may be distributed to the campus through any one of more of the following mechanisms:

**MyCampus**: UMF's online directory/source of information of everything that a campus community member may need.

**Directed Communications - TEXT / EMAIL**: University of Maine Farmington email system alerting students, faculty, and staff.

**Flyers**: posted on bulletin boards, exterior doors in academic buildings, residence halls, outdoor boards and administrative buildings.

University of Maine at Farmington Department of Public Safety/Campus Police website: https://www.umf.maine.edu/publicsafety/

## University of Maine at Farmington Emergency Notification System (VISIPLEX):

The Visiplex Notification System has been installed in both internal and external locations throughout campus and is used when there is imminent danger to the community. If the notification system is activated, the campus community will be issued specific instructions on how to react to the incident in question. Other messaging systems will also be used with the Visiplex System (I.e., the email or Blackboard Connect text alerts) to assist with providing these instructions. The audible horn located on top of the Public Safety building is still operational and can be used as well.

The Timely Warning will contain:

- Date and time the crime occurred (if known)
- Date and time the crime was reported to the UMF Department of Public Safety/Campus Police
- Location of the Crime
- Identification of the crime classification(s)
- A brief description of what occurred

- Safety Tips
- · UMF Department of Public Safety/Campus Police contact information
- · A description of the suspect (if known)

A copy of the timely warning will be filed in the corresponding case file.

#### **Daily Crime Log**

The UMF Department of Public Safety/Campus Police maintains a Daily Crime and Fire Log of reported crimes and fires reported on UMF property and/or incidents occurring within the UMF Clery and Patrol Jurisdictions (reasonably contiguous geography). This report includes the nature of the crime / fire, date and time reported, approximate date and time of the incident, general location of the incident, and the disposition of the incident.

The UMF Daily Crime Log is posted daily in the lobby of the Public Safety Office located at 149 Quebec Street Farmington, ME 04938 and available for review. The UMF Daily Crime Log is also posted on the UMF Department of Public Safety/Campus Police website (<a href="https://www.umf.maine.edu/publicsafety/">https://www.umf.maine.edu/publicsafety/</a>). Printed copies of the UMF Daily Crime Log are available upon request at the Public Safety Office and can also be mailed; they can also be emailed (digital copies) to a requesting party. If you would like a mailed or digital copy, please contact the UMF Department of Public Safety/Campus Police at 207-778-7400.

#### **Campus Security Authorities**

A Campus Security Authority (CSA) is any individual or individual who has some responsibility for campus security, but who does not constitute a campus security officer. This includes officials of the University who have significant responsibility for student and campus activities, including, but not limited to, student housing, student discipline, and campus judicial proceedings.

All faculty, staff and students, identified as CSA's, are required to complete the online "Campus Security Authorities Role and Responsibilities" training on the UMS Academy website. This training outlines expectations of the Clery Act for Campus Security Authorities (CSA's). This training provides a basic understanding of role and responsibilities for CSA's and is a required training for anyone serving as a CSA at UMS.

By the end of this training, all CSA's will be able to:

- Understand what the Clery Act is.
- Identify the role of a CSA.
- Recognize which crimes are reportable under the Clery Act
- Describe your responsibilities as a CSA.
- Locate available resources.

The Current UMF Campus Security Authorities are listed below; the positions are CSAs no matter who is serving in them.

#### **Department of Public Safety/Campus Police**

207-778-7400

149 Quebec Street

(Campus Police Officers, Staff, Student Employees)

Chief Brock Caton (Director of Public Safety/Chief of Police)

Mrs. Julie Bartlett (Public Safety Administrative Specialist CLII)

#### **Full-time Officers**

Sergeant Marc Bowering (Assistant Director)

Sergeant Michael Adcock

Officer Adam Welch

Officer Scott Dalton

#### **Reserve Police Officers (Part-Time)**

Officer Robert Samson

Officer Shane Cote

Officer Billie Hatfield

Officer Joseph Sage

Officer Drew Holston

Officer Matthew Billian

Officer Walter Fails

Officer Troy Reed

#### **Athletics Department**

207-778-7147

163 High Street

(Directors, Associate and Assistant Directors, and Coaches)

Jamie Beaudoin, Director of Athletics

Jason Brooks, Director of Athletics Communication and Athletics Eligibility Officer

Rebecca Brinegar, Administrative Specialist

Mary Sinclair, Head Field Hockey Coach

Vacant, Assistant Field Hockey Coach

Chris Bessey, Head Baseball Coach, Asst. Sports Information

Vacant, Assistant Baseball Coach

Quinn Newton, Head Men's Basketball Coach

Marcus Christopher, Assistant Men's Basketball Coach

Nate Carson, Head Women's Basketball Coach

Noah Carroll, Assistant Women's Basketball Coach

Sean Cabaniss, Head Men's and Women's Track and Field Coach, Assistant Cross Country Coach

Benjamin Toribio, Assistant Men's and Women's Track and Field Coach

Vacant, Assistant Men's and Women's Track and Field Coach

Robbie Hollis, Head Men's and Women's Cross-Country Coach, Assistant Track and Field Coach

Vacant, Head Coach Golf

Kaya Backman, Head Women's Lacrosse Coach

Liz LeClair, Assistant Women's Lacrosse Coach

Vacant, Snow Sports Director and Head Men's and Women's Alpine Coach

Vacant, Assistant Men's and Women's Alpine Coach

Merit "Buzz" Bean, Associate Head Coach Men's and Women's Nordic Ski

Justin Ellis, Head Coach Freeride/Snowboard

Blake Hart, Head Coach Men's Soccer

Eric McCallum. Assistant Men's Soccer Coach

Molly Wilkie, Assistant Athletic Director, Diversity and Inclusion, Head Coach Women's Soccer

Callie Hammer, Assistant Coach Women's Soccer

Alyssa Williamson, Head Coach Women's Softball

Kiana Thompson, Assistant Coach Women's Softball

Ryan Thompson, Athletics Health Administrator, Head Athletic Trainer

Nigel Fung-A-Fat, Certified Athletic Trainer/Strength and Conditioning Coach

Mick Kaminsky, Certified Athletic Trainer/Instructor

## **Sodexo Dining Services**

207-778-7358

111 South Street

(Managers)

Tamra Hartley, General Manager

Rosalie Crocker, Catering Manager

#### **Department of Student Life**

207-778-7334

111 South Street

[Director, Assistant Directors, Area Directors and Community Assistants (Community Assistants are student staff members / workers)]

Student Life Staff: Frances Allen Black Hall

207-491-1395

126 Lincoln Street

Duncan Farley, Area Director

Student Life Staff: Purington Hall 207-779-7121

172 High Street

Duncan Farley, Area Director

Student Life Staff: Mallett Hall 207-491-2769

180 High Street

Duncan Farley, Area Director

Student Life Staff: Stone Hall 207-491-7330

115 Maguire Street

Duncan Farley, Area Director

Student Life Staff: Dakin Hall 207-779-6351

117 Perkins Street

Duncan Farley, Area Director

Closed to residential living as it served as the quarantine / isolation facility during Covid-19 during Spring 2022 Semester (re-opened for Fall 2022 Semester)

Student Life Staff: Lockwood Hall 207-779-4946

111 Perkins Street

Duncan Farley, Area Director

Closed to residential living for renovations during 2022 - 2023 Academic Year

Student Life Staff: Scott Hall North 207-462-0389

245 Main Street

Gabriella Fultz, Area Director

Student Life Staff: Scott Hall South 207-462-0389

251 Main Street

Gabriella Fultz, Area Director

Student Life Staff: Scott Hall West 207-462-0389

249 Main Street

Gabriella Fultz, Area Director

Center for Student Involvement 207-778-7348

111 South Street

Brian Ufford, Director of Student Life

The Landing 207-778-7348

111 South Street

Judy Peary-Adams, Department of Student Life Administrative Specialist

Conference and Events 207-778-7344

111 South Street

Ernestine Hutchinson, Director of Auxiliary Business and Hospitality Services

Student Leadership and Engagement 207-778-7593

117 South Street

Kirsten Swan, Director of Student Leadership and Engagement

Student Services / Employment 207-778-7107/7103

224 Main Street

Julie Farmer, Financial Aid Counselor

VP for Student Affairs and Enrollment Management 207-778-7087

242 Main Street

Christine Wilson, Vice President for Student Affairs and Enrollment Management

VP for Academic Affairs 207-778-7154

224 Main Street

VACANT. Provost / Vice President for Academic Affairs

Steven Quackenbush / Katherine Yardley, Co- Provosts

**Fitness and Recreation Department** 

207-778-7495

152 Quebec Street

Allison Thayer, Associate Director

Jennifer Pageot, Associate Director

Michael Colella, Assistant Director

Global Education 207-778-7166

Mantor Library

Dr. Jeffrey Willis, Coordinator of International Programs and Travel Abroad

**Career Services / Internships** 

207-778-7516

Fusion 102

Austin French, Director of Career Pathways & Employer Engagement

AA /EEO and Deputy Title IX Coordinator

207-592-3618

Amie Parker, Chief Human Resources Officer

TRIO Programs: Upward Bound / Johnson Scholars

207-778-7297

252 Main Street

Elizabeth Hansen Roosa, Director of TRIO/Upward Bound Programs

Ashlee Fecteau, Director of TRIO/SSS (Johnson Scholars)

Camille Blanchet, Learning Specialist/Academic Advisor Johnson Scholars

Joe McShane, Learning Specialist/Academic Advisor Johnson Scholars

Will Lane, Assistant Director of Upward Bound

Maine Jazz Camp 718-499-9051

PO Box 150-597

Brooklyn, New York

Christine Correau

## **Adam Walsh Child Protection and Safety Act**

Information regarding registered sex offenders in the State of Maine under section 121 of the Adam Walsh Child Protection and Safety Act of 2006 (42 U.S.C. 16921) may be obtained by contacting the UMF Department of Public Safety/Campus Police or at the State of Maine Sex Offender Registry at <a href="http://sor.informe.org/sor/">http://sor.informe.org/sor/</a>.

## **Missing Student Notification Procedures**

This section of the Annual Security Report sets forth the university's missing student notification procedures for students who reside in on-campus housing facilities. These procedures only apply to students who reside in on-campus residential facilities operated by UMF Housing.

Students, employees, or other individuals who want to report that a student who lives in on-campus housing has been missing for 24 hours should contact the UMF Department of Public Safety/Campus Police at 207-778-7400.

Any missing student report brought to a CSA shall be referred immediately to the UMF Department of Public Safety/Campus Police, or, to the local law enforcement agency that has jurisdiction in the area.

Individuals may report a student missing at any time; there is no requirement for the individual to wait until they believe the student has been missing for 24 hours.

Upon contracting with the university to live in on-campus housing, all students have the opportunity to identify and provide contact information for the university's use when the university or a local law enforcement agency has officially determined that a student is missing.

Each student who wants to live on campus for the upcoming year will enter the Housing Portal, and fill out a Housing Application. In this form, they will have an opportunity to designate a missing persons contact. The student can modify their information at any time by contacting UMF Housing at umfhousing@maine.edu or by calling 207-778-7372.

Students are advised that missing persons contact information will be accessible only to authorized university officials and will not be disclosed to others except to the UMF Department of Public Safety/Campus Police or local law enforcement personnel in furtherance of a missing person investigation.

#### **Investigation of a Missing Student Report**

The UMF Department of Public Safety/Campus Police will investigate all reports of students who live in on-campus housing and are believed to be missing. If the UMF Department of Public Safety/Campus Police officially determines that a student has been missing for 24 hours or more, they will contact the following individuals within the next 24 hours:

- For a student who is under 18 years old and not emancipated:
  - The University must notify a custodial parent or guardian within 24 hours of the determination that the student is missing, <u>in addition</u> to notifying any identified missing persons contact
- For a student who is under 18 years old and emancipated:
  - The University will notify the student's identified missing persons contact
- For a student who is 18 years or older:
  - The University will notify the student's identified missing persons contact

For all cases of a missing student, the University will notify the local law enforcement agency within 24 hours of the determination that the student is missing, unless the local law enforcement agency was the entity that made the determination that the student is missing.

#### **HUMAN RESOURCES AND LABOR RELATIONS**

Section 402 Sex Discrimination, Sexual Harassment, Sexual Assault, Relationship Violence, Stalking and Retaliation and Title IX Sexual Harassment

Effective: 3/26/90

Last Revised: 11/16/98; 11/17/14; 5/18/15, 8/14/2020, 4/21/2022, 6/30/2023

Responsible Office: Human Resources

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\*\*Please note: The reader will find sections within this document that are specific to each campus; each campus will have a version of this policy that contains more detailed information specific to that campus. These sections will be identified in the text with instructions to contact the <a href="Title IX Coordinator on the campus of interest">Title IX Coordinator on the campus of interest</a> for this information.

#### I. Policy Statement

The University of Maine System ("UMS" or "the University") is committed to providing a safe environment which promotes the dignity and worth of each member of the community. In complying with the letter and spirit of applicable laws and in pursuing its own goals of diversity, the University does not discriminate on the basis of sex in employment, education, and all other programs and activities. UMS, inclusive of all its campuses and faculties, does not discriminate on the basis of sex, race, color, religion, age, disability, status as a veteran,

national or ethnic origin, sexual orientation, transgender status, gender identity, gender expression, or any other category protected by applicable law, in the administration of its educational policies, admission policies, scholarship and loan programs, employment, or other school administered programs. For this reason, the University will not tolerate sex discrimination, sexual harassment, sexual assault, sexual violence, intimate partner violence, dating violence, domestic violence, stalking, or retaliation in any form. All conduct of this nature is considered a violation of this policy. This policy addresses discrimination on the basis of sex. UMS does not discriminate on the basis of sex in its education programs or activities, and UMS is required by Title IX of the Education Amendments of 1972, and the final Title IX regulations issued by the U.S. Department of Education's Office for Civil Rights in May 2020, not to discriminate in such a manner. The requirement not to discriminate on the basis of sex in the education program or activity extends to admission and employment. Inquiries about the application of Title IX and its implementing regulations may be referred to the Title IX Coordinator, to the Assistant Secretary for Civil Rights, or both. This policy is compliant with Maine State Law, An Act Concerning Sexual Violence, Intimate Partner Violence and Stalking at Institutions of Higher

Education. http://www.mainelegislature.org/legis/statutes/20-A/title20-Ach445sec0.html

The University will respond to complaints and reports of violations of this policy in a prompt, fair, impartial and equitable manner. Regardless of whether a complaint is filed, the University will respond promptly and reasonably when it has notice of potential misconduct that is a violation of this policy. The University will take steps to end and prevent recurrence of violations of this policy and to correct their discriminatory effects on the complainant and others when a determination of responsibility has been made against a Respondent. In responding to all complaints and reports, the University will act to ensure the safety of students, guests, and employees while complying with state and federal laws and provisions of applicable collective bargaining agreements and employee handbooks.

#### II. Overview

This policy applies to all members of the University community, including but not limited to all students (graduate and undergraduate), all employees (including faculty and staff), adjunct faculty, contractors, vendors and/or other third parties. This policy applies to all individuals regardless of gender, sexual orientation, transgender status, gender identity, or gender expression. It applies to all University programs and activities, both on campus and off campus, including, but not limited to, instruction, grading, housing, athletics, electronic communication and employment.

This policy does not substitute for or supersede related civil and criminal law. It is the policy of the University to strongly encourage individuals to report all incidents and violations to law enforcement officials or agencies with appropriate jurisdiction and to avail themselves of all the services and rights to which they are entitled.

Any individual may file a complaint under this policy at any time, regardless of where the alleged incident occurred. However, the University strongly encourages individuals to file complaints promptly in order to preserve evidence for a potential legal or disciplinary proceeding. A delay in filing a complaint may compromise the subsequent investigation. Reporting in a timely way also allows the University to provide all persons involved with information regarding their rights, options, and resources available under this policy and federal or state laws.

All members of the University community are encouraged to cooperate fully in investigations and other proceedings necessary for the effective execution of this policy, though no individual will be retaliated against for exercising their rights under this policy including their right not to participate in an investigation and/or adjudication process

#### This policy covers the following information:

 What to do if you are the victim of sexual harassment, sexual assault, sexual violence, intimate partner violence, dating violence, domestic violence, stalking, or retaliation;

- Emergency Medical Assistance;
- · Common terms used in this policy;
- Affirmative Consent;
- How and where to file a complaint;
- How the University will respond to a complaint;
- Confidentiality;
- Supportive measures that are available;
- Options regarding reporting to Law Enforcement;
- Protection orders;
- Student Amnesty;
- Free Speech and Academic Freedom;
- Off-campus conduct;
- Educational programs;
- External complaints;
- Review and revision of this policy.

# III. What to do if you are Sexually Assaulted or Experience Sexual Violence, Intimate Partner Violence, Domestic Violence, Dating Violence or Stalking

- Get to a safe location.
- Consider asking a trusted friend or relative to be with you for support.
- You may choose to file a report with the local law enforcement agency. Your
  report puts in place support systems that you may choose to use. The University
  will provide someone to assist you in filing a report with Law Enforcement if you
  wish. You have the right to decline notification to a law enforcement agency,
  including campus, local, tribal, or state police and still receive assistance from the
  University.
- If you are on campus and unsure where to go or can think of nowhere that is safe at this time, please consider calling your campus Public Safety or Security Department or dial 911 in an emergency.

# On Campus Resources (Police/Security/Safety Offices)

Campus Police – University of Maine Farmington 207-778-7400

If you are off campus and are unsure of where to go that is safe, please consider calling local or state law enforcement. If it is an emergency dial 911. For a complete list of non-emergency numbers for police departments in the state please visit: http://mainechiefs.com/police-departments

• Seek medical care as soon as possible. You may need basic medical treatment for injuries, and you may have injuries of which you are unaware. You also may

be at risk of acquiring a sexually transmitted infection, and women may be at risk for pregnancy. Trained staff at your campus Health Center or the local emergency room can speak with you about all the medical options available and provide information about a sexual assault forensic examination. Maine Victims' Compensation Program may be able to assist you with financial support for medical and medically-related expenses incurred as a direct result of a crime. For more information on the program please click here.

Sexual Assault Resource and Advocacy Centers and Domestic Violence
Resource Centers across the state can assist you in identifying a local hospital
and provide accompaniment during the medical forensic examination (see pg. 18
– 23 for a list of confidential resource centers). You may also contact the hospital
directly and let them know you are coming in for a sexual assault or interpersonal
violence forensic examination. If you need assistance for travel reimbursement,
please contact your Deputy Title IX Coordinator. Please note that you do not
have to provide details of the incident to get reimbursed for travel to and from the
hospital.

Franklin Memorial Hospital – 111 Franklin Health Commons, Farmington, Maine 04938 207-778-6031

- Preserve all evidence of the incident. This includes any physical and/or electronic evidence, such as, clothing, sheets, weapons, condom, videos, pictures/images, text/voice messages, etc. If you are no longer where the incident occurred or have changed, put any clothing or material items in a paper bag. Back up any videos, pictures/images, text/voice messages, etc. to preserve them in case they are deleted.
- You may choose to file a report with the local law enforcement agency. Your
  report puts in place support systems that you may choose to use. The University
  will provide someone to assist you in filing a report with Law Enforcement if you
  wish.
- You can choose to have a forensic examination by a licensed healthcare
  practitioner, even if you are unsure if you want to file a report with the police. If
  you decide to have such an exam, it is important that you:
  - Do not bathe, wash your hands, brush your teeth, drink, eat, or even use the restroom—all these things can destroy evidence that may be helpful in a criminal investigation; however, if you have done any of these things since the incident, evidence can still be collected;
  - Do not clean or remove anything from the location where the incident occurred;
  - Write down as much as you can recall about the incident and the people involved.
- Seek some form of emotional support. While taking care of your physical needs
  may be the first step in taking care of yourself, it is important not to neglect the
  emotions you may be experiencing as a result of the assault, violence or stalking.

It is your choice to determine when and in what manner you recover from your trauma. Give yourself the time you need and know that it is never too late to get help.

- KNOW THAT WHAT HAPPENED WAS NOT YOUR FAULT AND YOU ARE NOT ALONE.
- University counseling services have employees who are specially trained to assist students with recovery and healing. CIGNA EAP services are available for employees at 1.877.622.4327. In addition to University resources local sexual assault support centers and domestic violence resource centers are available to support and assist you.
- There are a variety of resources on your campus and in your community that are available to help you.

Campus Private Resources – <u>University of Maine System Deputy/Title IX</u> Coordinators – University of Maine System

Campus Confidential Counseling Services – <u>Campus Counseling – University of Maine System</u>

Campus Confidential Resource Advisors – Campus Counseling – University of Maine System

# IV. Terms Used in this Policy

Sexual Harassment includes two distinct, but overlapping definitions applicable to this policy. The Title IX Regulations define sexual harassment as set forth in Section XVI of this policy. Consistent with Title VII of the Civil Rights Act of 1964 and the recognition that Sexual Harassment may also occur in a wider variety of contexts, UMS defines Sexual Harassment as:

### A. Sexual Harassment

Sexual harassment includes unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature, including sexual assault and sexual violence. Sexual harassment, including sexual assault, can involve persons of the same or opposite sex. Consistent with the law, this policy prohibits two types of sexual harassment:

1. Tangible Employment or Educational Action (quid pro quo): This type of sexual harassment occurs when the terms or conditions of employment, educational benefits, academic grades or opportunities, living environment or participation in a University activity are made an explicit or implicit condition of submission to or rejection of unwelcome sexual advances or requests for sexual favors, or such submission or rejection is a factor in decisions affecting an individual's employment, education, living environment, or participation in a University program or activity. Generally, a person

who engages in this type of sexual harassment is an agent or employee with some authority conferred by the University.

- 2. Hostile Environment: Sexual harassment that creates a hostile environment is based on sex and exists when the harassment:
- i. Is subjectively and objectively offensive; and
- ii. Is so severe or pervasive as to alter the conditions of a person's employment, education or living situation that it creates an abusive working, educational or living environment.

A hostile environment can be created by anyone involved in a University program or activity, such as an administrator, faculty or staff member, student, or campus guest. Offensiveness alone is not enough to create a hostile environment. Although repeated incidents increase the likelihood that a hostile environment has been created, a single serious incident, such as a sexual assault, can be sufficient.

Determining whether conduct creates a hostile environment depends not only on whether the conduct was unwelcome to the person who feels harassed, but also whether a reasonable person in a similar situation would have perceived the conduct as objectively offensive. The following factors will also be considered:

- a. the frequency, nature and severity of the conduct;
- b. whether the conduct was physically threatening;
- c. the effect of the conduct on the Complainant's mental or emotional state;
- d. whether the conduct was directed at more than one person;
- e. whether the conduct arose in the context of other discriminatory conduct;
- f. whether the conduct altered the conditions of the Complainant's educational or work performance and/or UMS programs or activities;
- g. whether the conduct implicates academic freedom or protected speech; and,
- h. other relevant factors that may arise from consideration of the reported facts and circumstances.

## **B. Sexual Assault**

Sexual assault means an offense that meets the definition of rape, fondling, incest, or statutory rape.

Rape is the penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim.

Fondling is the touching of the private body parts of another person for the purpose of sexual gratification, without the consent of the victim, including instances where the victim is incapable of giving consent because of his/her age or because of his/her temporary or permanent mental incapacity.

Incest is sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.

Statutory rape is sexual intercourse with a person who is under the statutory age of consent. All forms of <u>sexual assault</u> and sexual contact prohibited by Maine law are also included.

"Sexual violence" includes:

(A) Any crimes in Maine listed at

http://www.mainelegislature.org/legis/statutes/17-A/title17-Ach11sec0.html

- (B) Unauthorized dissemination of certain private images pursuant to 17-A M.R.S.A.
  - 511-A; <a href="http://www.mainelegislature.org/legis/statutes/17-A/title17-Asec511-A.html">http://www.mainelegislature.org/legis/statutes/17-A/title17-Asec511-A.html</a>
- (C) Aggravated sex trafficking or sex trafficking pursuant to 17-A M.R.S.A. §§852 or 853, respectively; <a href="http://www.mainelegislature.org/legis/statutes/17-A/title17-Asec852.html">http://www.mainelegislature.org/legis/statutes/17-A/title17-Asec852.html</a>

or

- (D) Sexual harassment as defined in 14 M.R.S.A. §6000(2-
- A). http://www.mainelegislature.org/legis/statutes/14/title14sec6000.html

and may constitute Sexual Assault and/or Sexual Harassment.

# C. Dating Violence

Dating violence is violence committed against a person by an individual who is or has been in a social relationship of a romantic or intimate nature with that person. Whether a dating relationship exists is determined based on the reporting party's statement and with consideration of the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship. Dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse. Dating violence does not include acts covered under the definition of domestic

violence. All forms of dating violence prohibited by Maine law are also included (see <u>Assault http://www.mainelegislature.org/legis/statutes/17-A/title17-Asec207.html</u>

#### D. Domestic Violence

A felony or misdemeanor crime of violence committed—

- (A) By a current or former spouse or intimate partner of the victim;
- (B) By a person with whom the victim shares a child in common;
- (C) By a person who is cohabitating with, or has cohabitated with, the victim as a spouse or intimate partner;
- (D) By a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred, or
- (E) By any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.

All forms of domestic violence prohibited by Maine law are also included (see <u>Domestic Violence Assault</u>, <u>Domestic Violence Criminal Threatening</u>, <u>Domestic Violence Terrorizing</u>, <u>Domestic Violence Stalking</u>, and <u>Domestic Violence Reckless Conduct</u>).

"Intimate Partner violence" means any of the acts that constitute abuse under 19-A M.R.S.A. §4002(1)(A to H) that are committed by an individual who is or has been in a social relationship with another individual of an intimate nature regardless of whether the individuals were or are sexual partners.

http://www.mainelegislature.org/legis/statutes/19-A/title19-Asec4002-1.html

and may constitute Dating Violence or Domestic Violence.

## E. Stalking

Stalking is engaging in a course of conduct directed at a specific person that would cause a reasonable person to—

- (A) Fear for the person's safety or the safety of others; or
- (B) Suffer substantial emotional distress.

- (ii) For the purposes of this definition—
- (A) **Course of conduct** means two or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property.
- (B) **Reasonable person** means a reasonable person under similar circumstances and with similar identities to the victim.
- (C) **Substantial emotional distress** means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

All forms of stalking prohibited by Maine law are also included (<u>Stalking</u> and <u>Domestic</u> Violence Stalking).

#### F. Retaliation

Retaliation is action taken by the University or any individual or group against any person for opposing any practices forbidden under this policy or for filing a complaint, testifying, assisting, or participating in an investigation or proceeding under this policy. This includes action taken against a bystander who intervened to stop or attempt to stop a violation of this policy. Retaliation includes intimidating, threatening, coercing, or in any way discriminating against an individual because of the individual's complaint, participation or non-participation. Action is generally deemed retaliatory if it would deter a reasonable person in the same circumstances from opposing practices prohibited by this policy.

### **G. Sexual Misconduct**

Sexual misconduct includes, but is not limited to, prostituting another person, nonconsensual image capturing of sexual activity, presentation or unauthorized viewing of a nonconsensual videotaping of sexual activity, letting others watch you have sex without the knowledge or consent of your sexual partner, possession of child pornography, peeping tommery, and/or knowingly transmitting an STD or HIV to another person. Sexual misconduct may constitute sexual harassment. All forms of sexual misconduct prohibited by Maine law are also included (see Violation of Privacy, Possession of Sexually Explicit Material, and Sex Trafficking).

## V. Affirmative Consent

Affirmative consent is a voluntary agreement to engage in sexual contact. Affirmative consent must be informed, freely and actively given, and consist of a mutually

agreeable and understandable exchange of words or actions. Affirmative consent is clear, knowing and voluntary. Affirmative consent is active, not passive, and can be revoked at any time. Silence, in and of itself, cannot be interpreted as affirmative consent. Affirmative consent can be given by words or actions, as long as those words or actions create mutually understandable clear permission regarding willingness to engage in (and conditions of) sexual activity. Past consent does not imply future consent. Affirmative consent to engage in one form of sexual activity does not imply consent to engage in any other sexual activity. Affirmative consent to engage in sexual activity with one person does not imply consent to engage in sexual activity with any other person.

It is not affirmative consent when the exchange involves unwanted physical force, coercion, intimidation, and/or threats. If an individual is mentally or physically incapacitated or impaired such that one cannot understand the fact, nature or extent of the sexual situation, and the incapacitation or impairment is known or should be known to the other person, there is no consent. This includes conditions resulting from alcohol or drug consumption, or being asleep or unconscious. Affirmative consent is not valid if the person is too young to consent to sexual activity under <a href="Maine law">Maine law</a>.

## VI. How to File a Complaint and How the University Will Respond

The University strongly encourages anyone who has experienced sex discrimination, sexual harassment, retaliation, sexual violence, sexual assault, domestic violence, dating violence, intimate partner violence or stalking to report the incident to the appropriate contact at the University (see VI (A)). A report can be made under this policy at any time, regardless of when or where the incident happened. Reporting the incident to the University does not mean that you have to file a formal complaint or report the incident to the police. Reporting the incident, however, will allow the University to provide individuals involved with information about available support and services, both on campus and off campus.

Any individual may make a third-party complaint about a violation of this policy. Individuals are encouraged to contact the appropriate office identified below as soon as possible. After receiving a complaint, the University will determine what further action, including contacting the alleged victim, is warranted. If a concern is reported by someone other than the alleged victim and the alleged victim is unwilling or unable to cooperate with an investigation, the University's ability to respond may be significantly limited. The identity of a reporting/complaining party and the responding party and all information related to an incident covered under this policy are confidential and may not be disclosed by the University except as necessary to carry out a disciplinary process or as otherwise permitted under state or federal law.

An investigation, including any hearing and resulting disciplinary proceedings, must be conducted by an individual who received not less than annual training on issues relating to sexual violence, intimate partner violence or stalking, investigatory procedures and

hearing procedures to protect the safety and rights of students and employees, promote accountability objectivity, impartiality, and a trauma-informed response.

The reporting party and the responding party will be provided with a copy of the policy and procedures regarding the submission and consideration of evidence that may be used during a disciplinary hearing. Both parties will be simultaneously informed in writing of the results of a disciplinary proceeding not later than 7 business days after a final determination of a complaint, not including time for appeal, unless good cause for additional time is shown. Both parties will be informed of any appeal process.

The UMS Title IX Coordinator is responsible for the University's overall compliance and response to incidents of sexual assault, sexual harassment and sex discrimination in general.

### A. How and Where to File a Complaint

# 1. Complaints or Reports of Employees' Conduct

All complaints or reports relating to violations of this policy by a University employee should be made to the UMS Title IX Coordinator, Liz Lavoie at 207.581.5866 or <a href="mailto:titleix@maine.edu">titleix@maine.edu</a> or to the Equal Opportunity Office, Amie Parker at 207.581.1226 or <a href="mailto:equal.opportunity@maine.edu">equal.opportunity@maine.edu</a>

Upon receiving a complaint or report of a violation of this policy by a University employee, the UMS Title IX Coordinator will assess the complaint or report and will follow the procedures described in the University of Maine System Equal Opportunity Complaint Procedure or the Title IX Sexual Harassment Procedure. The UMS Title IX Coordinator will provide the complainant with information about options for filing a formal complaint and explain the formal investigation and grievance process, supportive measures, and any options of informal resolution. The UMS Title IX Coordinator will provide the complainant with a written explanation of the complainant's rights, options, and supportive measures. Supportive measures are available to complainants even if they do not file a formal complaint. When a formal complaint is decided, the University will use a preponderance of the evidence standard – whether it is more likely than not that the alleged violation occurred. For more information about the Equal Opportunity Complaint Procedure, follow this link: https://www.maine.edu/humanresources/university-equal-opportunity-officers/equal-opportunity-complaintprocedure/ For more information about the Title IX Sexual Harassment Process, follow the link in Article XVI of this Policy.

## 2. Complaints or Reports of Students' Conduct

All complaints or reports relating to violations of this policy by a University student regardless of where the offense occurred should be made to the campus Deputy Title IX Coordinator who will notify the UMS Title IX Coordinator of the complaint or report.

Upon receiving a complaint or report of a violation of this policy by a University student, the Deputy Title IX Coordinator/Title IX Coordinator will assess the complaint or report and follow the procedures described in the University of Maine System Student Conduct Code or the Title IX Sexual Harassment Process. The Deputy Title IX Coordinator/Title IX Coordinator will provide the complainant with information about options for filing a formal complaint and explain the formal investigation and grievance process, offer supportive measures, and explain any options of informal resolution. The Deputy Title IX Coordinator/Title IX Coordinator will provide the complainant with a written explanation of the complainant's rights and options. When a formal complaint is decided, the University will use a preponderance of the evidence standard – whether it is more likely than not that the alleged violation occurred. For more information about the procedure for adjudicating complaints against students, see the UMS Student Conduct Code at: <a href="https://www.maine.edu/board-of-trustees/policy-manual/section-501/">https://www.maine.edu/board-of-trustees/policy-manual/section-501/</a> or the Title IX Sexual Harassment Process at <a href="https://www.maine.edu/title-ix/procedures/">https://www.maine.edu/title-ix/procedures/</a>

# 3. Complaints or Reports of Third Parties' Conduct (Campus Guests, Vendors, Contractors, etc.)

All concerns regarding violations of this policy by third parties such as vendors, contractors and campus guests should be made to the UMS Title IX Coordinator at 207.581.5866 or <a href="mailto:titleix@maine.edu">titleix@maine.edu</a> or to the Equal Opportunity Office at 207.581.1226 or <a href="mailto:equal.opportunity@maine.edu">equal.opportunity@maine.edu</a>

Upon receipt of a report or complaint, the University will respond appropriately depending on the nature of its relationship to the third party.

# 4. Confidential Resource Advisors (CRA's)

CRA's are also available to the University Community. CRA's are confidential and can assist a person in filing a formal complaint. A CRA can provide all of the same resources that a Title IX Coordinator or Deputy Title IX Coordinator can assist with. Please see pg.26 for a list of supportive measures. A disclosure under this policy to a CRA or the performance of a service by a CRA shall not be considered actual or constructive notice of such an alleged incident to the University. For a list of University CRA's please click here.

## B. How the University Will Respond to a Complaint

The University's investigation and decision-making process is separate from and does not serve as a substitute for any criminal or civil investigation and adjudication regarding the same incident. The University will provide a prompt, fair, impartial, and equitable investigation and resolution of the complaint. The investigation and decision-making shall be conducted by officials who receive annual training on discrimination, harassment, retaliation, intimate partner violence, domestic violence, dating violence, sexual violence, sexual assault, and stalking, and how to conduct an investigation and hearing process that protects the safety and rights of all individuals and promotes accountability, objectivity, impartiality and a trauma-informed response.

Both the complainant and the respondent have the right to have another present during any investigative or disciplinary meeting or proceeding, including the opportunity to be accompanied to any related meeting or proceeding by an advisor of their choice, who may be, but is not required to be, an attorney. The University will not limit the choice or presence of an advisor for either the complainant or respondent in any meeting or grievance proceeding; however, the University may establish restrictions regarding the extent to which the advisor may participate in the meetings or proceedings, as long as the restrictions apply equally to both parties.

In investigating allegations covered by this Policy, the investigator and decision-makers will not question the complainant about the complainant's prior sexual conduct with anyone other than the respondent unless relevant to establish that someone else committed the conduct alleged to be a violation of this policy. Information regarding any prior sexual conduct or dating relationship between the complainant and the respondent by itself shall not imply consent or preclude a finding of a violation, but may be relevant to understand the nature and context of the parties' relationship and how consent to sexual activity was communicated between the parties. No direct questioning of the either party by the other will be permitted, though in the Title IX sexual harassment process, the parties' advisors have the opportunity to cross-examine the other party and witnesses during the hearing process.

The respondent's use of alcohol and other drugs in connection with a violation of this policy does not mitigate accountability for the behavior or diminish the seriousness of the incident, unless it is determined that the respondent was incapacitated and unable to consent to the sexual activity at issue. The respondent's intentional use of a substance to affect an individual in order to facilitate a violation of this policy will be considered relevant when determining responsibility and appropriate sanctions.

In the investigation and decision-making processes for all complaints of sexual assault, domestic violence, dating violence or stalking made under this policy, both the complainant and the respondent shall be simultaneously informed, in writing, of: (1) the outcome of any institutional disciplinary proceeding that arises from the alleged violation including all sanctions and the rationale for the result and sanctions; (2) the procedure for the respondent and the complainant to appeal the results of the institutional disciplinary proceeding; (3) any change to the results that occurs prior to the time that

the results become final; and (4) when the results are final. The University shall not require a party to abide by a non-disclosure agreement in writing or otherwise regarding the final results of the institutional disciplinary proceeding.

Any student or employee found to have violated this policy may be subject to disciplinary action, up to and including suspension or dismissal/termination from the University. Sanctions for third parties who violate this policy will be in accordance with the circumstances.

For information about sanctions, students should refer to the Student Conduct Code at: <a href="https://www.maine.edu/board-of-trustees/policy-manual/section-501/">https://www.maine.edu/board-of-trustees/policy-manual/section-501/</a>. All of the possible sanctions that the University may impose upon a student following the results of any University disciplinary proceeding for an allegation of dating violence, domestic violence, intimate partner violence, sexual violence, sexual assault, or stalking are:

- **A. Assigned Educational Projects:** This may include research projects, reflective essays, counseling assessments, sanction seminars or other related assignments intended to promote learning.
- **B. Community Service**: The type of service may be related to the nature of the violation.
- **C. Deferred Sanction**: A specific period of time during which a Respondent's continued enrollment or housing contract at the University is clearly in jeopardy. Any further violation of the Code during that time will minimally result in the imposition of the deferred sanction and any additional sanctions deemed necessary.
- **D. Disciplinary Dismissal:** Permanent separation (subject to the right of review after five years) from the University.
- **E. Disciplinary Probation**: A period of time when a Respondent is under closer scrutiny of the University. It may include the loss of one or more privileges.
- **F. Disciplinary Suspension**: Separation from the University for a stated period of time and/or until a stated condition(s) is met.
- **G. Fine**: Payment of money. Respondents who are unable to pay may discuss alternate payment arrangements.
- **H. Loss of Contact with a Specific Person(s)**: With this sanction, the person may not initiate direct or indirect contact with a specified person(s).

- **I. Loss of Visitation Privileges**: This loss of visitation may be to any designated area(s) of campus.
- **J. Official Warning:** Official acknowledgment of a violation and the expectation that it will not be repeated.
- **K. Removal from University Housing**: Removal from a particular hall or all housing.
- **L. Restitution**: Restitution, up to the replacement value of the items damaged, stolen, removed or used without authority and damages incurred.
- M. Such other action as the Committee or Officer may reasonably deem appropriate (e.g., suspension of an organization's official campus recognition or suspension of a student from an extracurricular activity).

For information about possible disciplinary action, represented employees should refer to the <u>appropriate collective bargaining agreement</u>. Non-represented employees should refer to the <u>non-represented employee handbook</u>. All of the possible sanctions that the University may impose upon an employee following the results of any University disciplinary proceeding for an allegation of dating violence, domestic violence, intimate partner violence, sexual violence, sexual assault or stalking are:

- A. Oral Warning
- B. Written Warning
- C. Suspension
- D. Termination
- E. Such other action as the University may reasonably deem appropriate.

### C. Retaliation

The University and the law prohibit retaliation against an individual for opposing any practice forbidden under this policy, for bringing a complaint, for assisting someone with a complaint, for attempting to stop a violation of this policy, or for participating or for refusing to participate in any manner in an investigation or resolution of a complaint. It is central to the values of this University that any individual who believes he or she may have been the target of a violation of this policy feels free to report their concerns for appropriate investigation and response, without fear of retaliation or retribution. After making, assisting with or otherwise participating in a report or complaint to the University, any individual who believes he or she has been subjected to retaliation by

the complainant, respondent, the University or any other person or group should report the alleged retaliation immediately to the appropriate person identified in this policy. The University will take strong responsive action when retaliation is found to have occurred.

# VII. Confidentiality

# Confidentiality: What are the Options?

The University encourages people who have experienced sex discrimination, sexual harassment, sexual violence, sexual assault, dating violence, intimate partner violence, domestic violence, stalking, or retaliation to talk to somebody about what happened so they can get the support they need, and so the University can respond appropriately. People on campus and off-campus have different abilities to maintain confidentiality depending on their roles and responsibilities.

### A. Confidential Communications

#### 1. Professional and Pastoral Counselors.

Professional, licensed individuals who provide mental health services as part of their job responsibilities (including those who act in that role under the supervision of a licensed professional) and pastoral counselors (clergy, ministers, priests, rabbis, etc.) who provide religious counseling to members of the school community are not required to report any information about an incident to the Title IX Coordinator without an individual's permission provided that such information was disclosed in a counseling context. A person can seek assistance and support from these individuals without triggering a University investigation that could reveal the person's identity or that the person has disclosed the incident. This does not apply to professional, licensed individuals who receive a report outside of their licensed duties, such as when acting in a teaching capacity. On Campus Counseling Contact Information: <a href="Campus Counseling Contact Information">Campus Counseling Contact Information</a>: <a href="Campus Counseling Contac

Speaking with a professional or pastoral counselor does not constitute notice to or a report or complaint to the University. The University will be unable to conduct an investigation into the incident or pursue disciplinary action against the respondent based on such a disclosure.

NOTE: Professional counselors may maintain a person's confidentiality within the University, but they may have external reporting or other obligations under state law (such as mandatory reporting to law enforcement in case of abuse of minors; imminent harm to self or others; requirement to testify if subpoenaed in a criminal case). A person who initially requests confidentiality may later decide to file a complaint with the University or report the incident to local law enforcement and have the complaint investigated. Professional and pastoral counselors can assist a person who later decides to file a complaint or report.

### 2. Licensed Health Professionals.

Certain licensed health professionals who receive information about an incident in connection with the provision of health care services may not report that information to the Title IX Coordinator without an individual's permission provided that such information was disclosed in a healthcare setting. However, some licensed health professionals who are designated as Campus Security Authority's may be required to report non-identifying statistical information to the University as required by federal law. Speaking with a licensed health care professional does not constitute notice to or a report or complaint to the University, and the University will be unable to conduct an investigation into the incident or pursue disciplinary action against the respondent.

NOTE: Licensed health professionals may maintain a person's confidentiality within the University, but they may have external reporting or other obligations under state law (such as mandatory reporting to law enforcement in case of abuse of minors).

# 3. On-campus and Off-campus Counselors and Advocates.

On-campus counselors, CRA's, Off-campus counselors, advocates, and health care providers will also generally maintain confidentiality and not share information with the University unless the individual requests the disclosure and signs a consent or waiver form.

On-Campus CRA's can be located here: <u>Campus Counseling – University of Maine System</u>

On-Campus Counseling can be located here: <u>Campus Counseling – University of Maine System</u>

Off-campus Confidential Resources – see below.

# <u>Statewide Confidential Sexual Assault and Domestic Violence Support Centers and Resources:</u>

For TTY-based telecommunications relay service please dial 711 and ask them to dial any of the phone numbers located in this policy. For more information please visit the **Federal Communications Commission's Website**: <u>Federal Communications</u> Commission (fcc.gov)

Name and Contact Information	Areas of Service	Description of Services

Maine Coalition Against Sexual Assault (MECASA) 1-800-871-7741	Connecting the entire state of Maine to local support centers	MECASA engages in programming and partnerships to bring the voices of Maine's sexual assault support centers and the victims/survivors they serve to inform statewide and national sexual violence prevention and response.
Maine Coalition to End Domestic Violence (MCEDV) 1-866-834-HELP Deaf or Hard of Hearing 1-800-437-1220	Serving the entire state of Maine through nine member networks across the state.	MCEDV advocates for the right of all people to live free from domestic abuse and all forms of violence.
Wabanaki Women's Coalition	Serving tribal communities across the state of Maine	The mission of the Wabanaki Women's Coalition is to increase the capacity of tribal communities to respond to domestic and sexual violence, and influence tribal, national, and regional systems to increase awareness, safety, justice, and healing for all our relations.
Maine TransNet info@maintransnet.org	Serving the entire state of Maine	Our mission is to support and empower transgender people to create a world where they can thrive, including support groups for trans survivors. NOTE: This organization does not provide crisis support or case management.

# <u>Local Confidential Sexual Assault and Stalking Resources throughout the State of Maine:</u>

Sexual Assault and Stalking resources in Maine provide free and private support from trained people who understand and want to help. In times of crisis, they offer support to survivors, their families, and friends, when, and if they need it. Helpful information and in person support for survivors who wish to go to the hospital, file a report with police, or need to attend court are just a phone call away. These services can assist with bridging connections to help in your community. If and when you are ready, they provide access to support groups.

Name and Contact Information	Areas of Service	Description of Services
Maine Coalition Against Sexual Assault (MECASA) 1-800-871-7741	Connecting the entire state of Maine to local support centers	MECASA engages in programming and partnerships to bring the voices of Maine's sexual assault support centers and the victims/survivors they serve to inform statewide and national sexual violence prevention and response.

AMHC Sexual Assault Services (AMHC) 1-800-871-7741	Aroostook, Hancock, and Washington Counties	The mission of AMHC Sexual Assault Services is to promote healing and justice to all who are affected by sexual violence through advocacy, education, and prevention.
Immigrant Resource Center of Maine (IRCM) 1-800-871-7741	Maine's refugee and immigrant communities)	The mission of immigrant resource center of Maine is to support refuges and immigrant communities by offering culturally and linguistically sensitive services to promote a healthy and equitable Maine.
Rape Response Services (RRS) 1-800-871-7741	Penobscot and Piscataquis Counties	Our mission is to offer hope, support, and advocacy to victims and people affected by sexual assault and stalking, to provide education about sexual violence, and to promote prevention.
Sexual Assault Prevention and Response Services (SAPARS) 1-800-871-7741	Androscoggin, Oxford, and Franklin Counties and the Towns of Bridgton and Harrison	Sexual assault prevention and response services works to prevent and eliminate sexual violence and promote healing and empowerment for people of all genders and ages who are affected by rape, sexual assault, child sexual abuse, sexual exploitation, stalking and sexual harassment.

Sexual Assault Crisis and Support Center (SACandSC) 1-800-871-7741	Kennebec and Somerset Counties	The sexual assault crisis and support center's mission is to lessen the traumarelated suffering of sexual assault and promote healing by guiding those affected by sexual violence toward survival through support, advocacy, education, and community collaboration. Our agency supports bodily autonomy.
Sexual Assault Response Services or Southern Maine (SARSSM) 1-800-871-7741	Cumberland and York Counties	Providing free services in York and Cumberland counties to anyone affected by sexual harassment, sexual abuse, or sexual assault, through prevention programs, support, education, and advocacy.

Sexual Assault Support Services of Midcoast Maine (SASSMM) 1-800-871-7741	Eastern Cumberland County, Sagadahoc, Knox, Waldo, and Lincoln Counties	SASSMM'S mission is to support and advocate for people affected by sexual violence, while working to prevent it in our communities.
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# **Local Confidential Domestic Violence Resources throughout the State of Maine:**

Name and Contact Information	Areas of Service	Description of Services
Maine Coalition to End Domestic Violence (MCEDV) 1-866-834- HELP Deaf or Hard of Hearing 1-800- 437-1220	Serving the entire state of Maine through nine member networks across the state.	MCEDV advocates for the right of all people to live free from domestic abuse and all forms of violence.
Hope and Justice Project 1-800-439-2323	Serving Aroostook County	We help people whose family and dating relationships are affected by abuse and violence.

NextStep Domestic Violence Project 1-800-315-5579	Serving Washington and Hancock Counties	Our free services are available to our community members of every gender, sexual orientation, age, race, nationality, religion, and economic status. Our services include a 24/7 helpline, emergency shelter, safety planning and emotional support, legal assistance and court accompaniment, long-term supportive housing, education and support groups, and resource referrals.
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Domestic Violence and Stalking resources in Maine provide free and private resources to all people impacted by domestic abuse and violence. Offering non-judgmental, emotional support, with tailored safety and risk planning to survivors in current abusive relationships. Trained people who understand and want to help. In times of crisis, they offer support to survivors, their families, and friends, when, and if they need it. Helpful information and in person support for survivors who wish to file a police report or need to attend court are just a phone call away. These services can assist with making meaningful connections to assist you in your community. If and when you are ready, they provide access to support groups.

Partners for Peace 1-800-863-9909 1-800-437-1220 (TTY)	Serving Penobscot and Piscataquis Counties	We provide support, advocacy and safety planning to anyone affected by domestic abuse. We foster safe and healthy relationships through prevention, education, and training.
New Hope Midcoast 1-800-522-3304	Serving Waldo, Knox, Lincoln, and Sagadahoc Counties	New Hope Midcoast believes that a life free from abuse is a fundamental human right and works to build a culture that will not tolerate domestic abuse. We support people as they create pathways to

		safety. By educating and empowering communities, we support a more just and effective response to domestic violence and abuse.
Family Violence Project 1-877-890-7788	Serving Kennebec and Somerset Counties	The Family Violence Project provides helpline, advocacy work, support groups, shelter and supportive housing, prevention and training programs, children's work, and Menswork, a program that works directly with abusers who want to change their behavior.
Immigrant Resource Center of Maine (IRCM) 1-800-871-7741	Maine's refugee and immigrant communities)	The mission of the immigrant resource center of Maine is to support refugees and immigrant communities by offering culturally and linguistically sensitive services to promote a healthy and equitable Maine.
Safe Voices 1-800-559-2927	Serving Oxford, Franklin, and Androscoggin Counties	The mission of Safe Voices is to support and empower those affected by domestic violence and engage the community in creating social change in Androscoggin, Oxford, and Franklin counties.
Through These Doors 1-800-537-6066	Serving Cumberland County	In collaboration with local partners and all affected by abuse, TTD's mission is to create innovative responses, mobilize our community, promote safety, and challenge

		oppression and systems of violence.
Wabanaki Women's Coalition 207-866-3030	Serving tribal communities across the state of Maine	The mission of the Wabanaki Women's Coalition is to increase the capacity of tribal communities to respond to domestic and sexual violence, and influence tribal, national, and regional systems to increase awareness, safety, justice, and healing for all our relations.
Micmac Domestic and Sexual Violence Advocacy Center 207-551-3639	Serving the Micmac Tribe and other community members	It is the mission of the Micmac Domestic and Sexual Violence Advocacy Center to serve the needs of the victims/survivors of domestic and sexual violence who seek our services while working to end violence against Native women and their children.

Maliseet Domestic and Sexual Violence Advocacy center 207-532-6401	Serving the Maliseet Tribe and community members	The Maliseet Domestic and Sexual Violence Advocacy Center is dedicated to ending violence against Native women by assisting victims in regaining personal safety and control of their life, ensuring accountability of perpetrators of violence within the Tribal and nontribal Law enforcements and judicial systems, and promoting peaceful relations in our Tribal families and Community.
Indian Township Passamaquoddy Domestic and Sexual Violence Advocacy Center 207-214-1917	Serving the Passamaquoddy Tribe and community members	It is the mission of the Indian Township Passamaquoddy Domestic and Sexual Violence Advocacy Center to serve the needs of victim/survivors of domestic violence, sexual violence, dating violence, stalking, and human trafficking while working to end violence against Native people.

Passamaquoddy Peaceful Relations Domestic and Sexual Violence Advocacy Center 1-877-853-2613	Serving the Pleasant Point Passamaquoddy Tribe and all community members residing on the Pleasant Point Passamaquoddy Reservation	The mission of Passamaquoddy Peaceful Relations Domestic and Sexual Violence Advocacy Center is to effect change within our Tribal community where equality, respect, and nonviolence become cornerstones of all relationships.
Penobscot Nation Domestic and Sexual Violence Advocacy Center 207-631-4886	Serving the Penobscot Nation Tribe and other community members	It is the mission of the Penobscot Nation Domestic and Sexual Violence Advocacy Center to serve the needs to the victims/survivors of domestic and sexual violence who seek our services while working to end violence against Native People.
Caring Unlimited 1-800-239-7298	Serving York County	Caring Unlimited works with the community to end domestic violence in York County. This includes providing support and safe haven to adults and children whose lives are affected by domestic abuse. We provide services in a manner that honors individuals' essential worth, nurtures their inherent strengths and respects their right of self-determination.

# <u>Local Confidential Domestic, Sexual Violence, and Stalking Resources for Tribal</u> <u>Communities throughout the State of Maine:</u>

Serving survivors of all types of sexual violence, intimate partner violence, and stalking by providing free services and resources to all native people. Empowering survivors through hospital accompaniment, court and system advocating, safety planning, transportation, and emergency shelter services. Assisting survivors by connecting them with community referrals and resources. Assisting with transitional services and support groups that lead survivors to safety, justice, and healing.

NOTE: On-campus CRA's and Off-campus counselors and advocates are not required to disclose information to the University, but they may have external reporting or other obligations under state law (such as mandatory reporting to law enforcement in case of abuse of minors).

#### 4. Researchers

Research involving human subjects (which require approval by the appropriate campus Institutional Review Board for the Protection of Human Subjects) may ask subjects to provide personal information in a confidential setting. Information about an incident covered under this policy may be disclosed by a research subject as part of participation in that research. Researchers involved in the research project are not required to report the incident to the Title IX Coordinator.

## B. Reporting to Another Student or a University Volunteer.

If you discuss a violation of this policy with another student or with a University volunteer, that person may be able to maintain your confidentiality, except as described within section C, Mandatory Reporting. All University students and volunteers are strongly encouraged to report all that they witnessed or heard regarding a violation of this policy to the designated University officials identified above.

# C. Mandatory University Reporting

All University employees (except as provided within section A) have a duty to report incidents of sexual misconduct potentially covered under this policy (including gender discrimination, sexual assault, sexual harassment, domestic violence, dating violence, and stalking) to the Title IX Coordinator. Student employees, peer advocates and volunteers who learn of a potential violation of this policy in the course of their employment, programming or volunteer responsibilities are also required to report.

If a University employee receives or becomes aware of an incident covered by this policy, if possible before hearing it fully, the employee should be clear with the

complainant that (1) they are not a confidential resource, if they are not so designated, and (2) they are obligated to report any incident to the Title IX Coordinator.

If a University employee receives or becomes aware of an incident covered by this policy, the University employee shall promptly report to the Title IX Coordinator all relevant details about the alleged incident shared by the complainant that the University will need to determine what happened – including the names of the complainant and respondent(s), any witnesses, and any other relevant facts, including the date, time and specific location of the alleged incident. To the extent possible, information reported will be shared only with people responsible for handling the University's response to the report. If the University determines that there is a continuing threat to the safety of members of the University community, it may have to issue a timely warning regarding the incident.

# D. How the University Will Respond to Requests for Confidentiality

When a complainant reveals any information about a potential violation of this policy to any University employee, as soon as possible, the employee should make sure that the complainant understands the employee's reporting obligations. If the complainant wants to maintain confidentiality, University employees should direct the complainant to confidential resources, but the employee must still report any information disclosed to the Title IX Coordinator. If the complainant wants to tell the employee what happened but also maintain confidentiality, the employee should tell the complainant that the University will consider the request, but cannot guarantee that the University will be able to honor it. In reporting the details of the incident to the Title IX Coordinator, the employee will also inform the Coordinator of the complainant's request for confidentiality.

An employee will not pressure a complainant to request confidentiality, or pressure a complainant to file a report or complaint. An employee will respect the complainant's wishes to the extent possible. If a complainant discloses an incident to an employee but wishes to maintain confidentiality or requests that no investigation into an incident be conducted or disciplinary action taken, the employee still must disclose the incident to the Title IX Coordinator, and the University will weigh the complainant's request against the University's obligation to provide a safe, non-discriminatory environment for all students and employees, including the complainant. If the University honors the request for confidentiality, a complainant needs to understand that the University's ability to meaningfully investigate the incident and pursue disciplinary action, if warranted, against the respondent(s) may be limited. There are times when the University may not be able to honor a complainant's request because it would pose a risk to providing a safe, non-discriminatory environment for students and employees. If the University determines that it cannot maintain a complainant's confidentiality, the University will inform the complainant prior to starting an investigation and, to the extent possible, will share information only with people responsible for handling the University's response.

Except as required by law, the University shall not include personally identifiable information about a complainant in its campus crime statistics or report, its campus crime log, publicly available police reports or timely warning notices.

The University shall maintain as confidential any accommodations or supportive measures provided to the complainant, respondent or other party, to the extent that maintaining such confidentiality would not impair the ability of the University to provide the accommodations or supportive measures or to the extent otherwise required by law.

The University is committed to providing a safe and non-discriminatory environment for the entire campus community. Because the University is under a continuing obligation to address violations of this policy campus-wide, reports of violations of this policy (including non-identifying reports) may also prompt the University to consider broader remedial action —such as increased monitoring, supervision or security at locations where the reported incident occurred; increasing education and prevention efforts, including to targeted population groups; conducting climate assessments/ victimization surveys; and/or revisiting its policies and practices.

#### E. Miscellaneous

Public awareness events such as "Take Back the Night," the Clothesline Project, candlelight vigils, protests, "survivor speak outs" or other forums in which students or employees disclose violations of this policy, are not considered notice to the University of violations of this policy for purposes of triggering its obligation to investigate a particular incident(s). Such disclosures may, however, inform the need for campus-wide education and prevention efforts, and the University may provide information about students' and employees' Title IX rights at these events. If conduct which might constitute Title IX Sexual Harassment is disclosed at such an event, and the Title IX Coordinator, Deputy Title IX coordinator or an Official with Authority is present, the University may be required to contact the complainant as described in Article XVI below.

# F. Anonymous Reporting

Although the University encourages complainants to talk to someone, the University provides for anonymous reporting. Providing personally identifying information through an anonymous reporting system may serve as notice to the University for the purpose of triggering the University's obligation to reach out to the complainant to explain the options for filing a formal complaint, offer supportive measures, and describe the formal and informal resolution processes. This is the mechanism for anonymous reporting on your campus.: University of Maine System | Reporting (maxient.com)

## **VIII. Supportive Measures**

Whether or not an individual who has experienced a violation of this policy files a formal complaint, reporting the incident will allow the University to provide all individuals involved with available support and services, both on-campus and off-campus. The University can also take supportive measures to promote the safety and well-being of both the complainant and respondent, including, but not limited to, moving the complainant or respondent to a new living, dining or working situation; issuing a no contact order; changing class or work schedules; changing transportation; financial aid accommodations; taking a leave of absence, and other academic and/or employment accommodations and support. Supportive Measures are non-disciplinary, non-punitive individualized measures offered as appropriate, as reasonably available and without fee or charge to the complainant or respondent.

The University can assist all individuals involved in obtaining counseling on or off campus and provide information regarding medical care and other resources, such as victim advocacy, safety planning, legal assistance and visa and immigration assistance. The University will provide written notification to students and employees about existing resources, both within the University and the community. The University will provide written notification to complainants and respondents about how to request the above services and accommodations. The University must offer such supportive measures if they are reasonably available, regardless of whether the complainant chooses to report the incident to campus police or local law enforcement, or file a formal complaint with the University. Supportive measures can be adjusted or reviewed at the request of the individual receiving them. To initiate this request please contact the person who implemented the supportive measure or the Title IX Coordinator, Deputy Title IX Coordinator, the Director of Equal Opportunity, or a campus CRA.

# IX. Options Regarding Law Enforcement

An individual who has experienced sexual violence, sexual assault, domestic violence, dating violence, intimate partner violence, or stalking, or any other conduct which may violate criminal laws, has a right, at his or her own discretion, to:

- 1. Notify law enforcement authorities, including on-campus and local police;
- 2. Be assisted by campus authorities in notifying law enforcement; or
- 3. Decline to notify law enforcement.

University officials may, however, provide law enforcement with details about an incident under some circumstances if a determination has been made that such disclosure is required by law and/or is necessary to secure campus safety. See section VII(D) above. Complainants have a right to proceed simultaneously with a criminal investigation and a University internal investigation. If necessary to the criminal investigation, the University may defer its investigation for a limited time for fact gathering by law enforcement, and then will promptly resume its investigation.

# X. Enforcement of Protection-from-Harassment or Protection-from-Abuse Orders

The University will provide assistance or referrals for a student or employee who wishes to obtain a protection-from-abuse or protection-from-harassment order. If a protection-from-abuse or protection-from-harassment order has been issued by a court in a civil or criminal proceeding, a copy of the order should be provided to University police or security and the UMS Title IX Coordinator or the Deputy Title IX Coordinator, as soon as possible to enable enforcement by the appropriate authorities. The University will work in good faith to implement the requirements of judicially issued protective orders and similar orders, to the extent that doing so is within its authority.

# **XI. Student Amnesty**

The University strongly encourages students to report instances of sexual misconduct covered under this policy. Students or employees who report information about a potential violation of this policy will not be disciplined by the University for any violation of drug or alcohol use, , trespassing, unauthorized entry of the University's facilities or other minor violations of University policy or the Student Conduct Code in which they might have engaged in connection with the reported incident; unless the University determines that the report was not made in good faith or that the violation was egregious. An egregious violation must include, but is not limited to, taking an action that places the health and safety of another person at risk.

# XII. Free Speech and Academic Freedom

Recognizing that many citizens have differing views on the matter of whom and which groups should have an opportunity to express opinions, the University of Maine System affirms its commitments to the rights of free speech and academic freedom.

To that principle, there shall be no restrictions, at any of the System institutions, placed on the fundamental rights of free speech and assembly, except those necessary to preserve the order for the University System to function as an institution of higher learning. Additional information pertaining to Free Speech can be found at: <a href="https://www.maine.edu/board-of-trustees/policy-manual/section-212/">https://www.maine.edu/board-of-trustees/policy-manual/section-212/</a>

Academic freedom is essential to the fulfillment of the purposes of the University. The University acknowledges and encourages an atmosphere of confidence and freedom while recognizing that the concept of academic freedom is accompanied by a corresponding concept of responsibility to the University and its students and employees. Academic freedom is the freedom to present and discuss all relevant matters in the classroom, to explore all avenues of scholarship, research and creative expression, and to speak or write without any censorship, threat, restraint, or discipline by the University with regard to the pursuit of truth in the performance of teaching, research, publishing or service obligations.

The University of Maine System is a public institution of higher education committed to excellence in teaching, research, and public service. Together, the students, faculty,

and staff form our state wide University community. The quality of life on and about the member Universities is best served by preserving the above described freedoms and civility. This policy shall not be construed or applied to restrict academic freedom within the University, nor construed to restrict constitutionally protected expression

# XIII. Off-Campus and Off-Duty Conduct

Reports of violations of this policy that occur off campus and/or off-duty can be the subject of a complaint. Reports of off-campus and/or off-duty sexual harassment, sexual violence, sexual assault, intimate partner violence, domestic violence, dating violence and stalking should be brought to the University's attention as soon as possible. The University will evaluate the incident to determine whether it violates this policy by resulting in continuing effects that create a hostile environment on campus or otherwise has a substantial connection to the University's education programs and activities.

# **XIV. Educational Programs**

The University will conduct educational programs to promote awareness of sex discrimination, sexual harassment, sexual assault, domestic violence, dating violence, and stalking. This will include primary prevention and awareness programs for incoming students and new employees, and ongoing prevention and awareness campaigns for students, faculty and staff. All employees and students must participate in all required educational programs.

# XV. External Complaints

Individuals who experience a violation of this policy may file a complaint or suit with an outside agency, including the Maine Human Rights Commission, U.S. Equal Employment Opportunity Commission, or U.S. Department of Education Office of Civil Rights. The University will still investigate and respond appropriately to any internal complaint.

A complainant who filed a complaint with the University and believes the University's response was inadequate may also file a complaint with the above agencies.

Complainants should keep in mind, however, that although they may report a violation of this policy to the University at any time; external agencies typically have time limits within which complaints must be filed. To learn more about the procedures for filing complaints with an outside agency, Complainants should contact that entity directly. To learn more about the procedures for filing complaints with an outside agency, Complainants should contact that entity directly.

Office of Civil Rights (OCR) U.S. Department of Education, 400 Maryland Avenue, SW Washington, D.C. 20202-1100

Customer Service Hotline #: (800) 421-3481 Facsimile: (202) 453-6012 TDD#: (877) 521-2172 Email: OCR@ed.gov Web: http://www.ed.gov/ocr

Maine Human Rights Commission, Office of the Commission, 51 State House

Station Augusta, ME 04330 Phone: 207.624.6290 Fax: 207-624-8729

Email: info@mhrc.maine.gov

For Employee Title IX/ADA Complaints:

US Department of Justice 950 Pennsylvania Avenue, NW Civil Rights Division Disability Rights Section – 1425 NYA Washington, D.C. 20530 Fax: (202) 307-1197

You may also file a complaint by E-mail at <u>ADA.complaint@usdoj.gov</u>. If you have questions about filing an ADA complaint, please call: ADA Information Line: 800-514-0301 (voice) or 800-514-0383 (TTY).

# **U.S. Equal Employment Opportunity Commission Complaints**: Call 1-800-669-4000

For Deaf/Hard of Hearing callers: 1-800-669-6820 (TTY) 1-844-234-5122 (ASL Video Phone) info@eeoc.gov

# XVI. Title IX Sexual Harassment (Pursuant to May 2020 Title IX Regulations)

This Article XVI and the corresponding Title IX Sexual Harassment process apply to all complaints of Title IX Sexual Harassment that are made after August 14, 2020 regarding conduct that occurs after that date. All complaints made after August 14, 2020, regarding conduct that occurred before August 14, 2020, will follow the policies in place at the time of the incident and the applicable process in place at the time of the complaint. The Title IX Sexual Harassment policy and process described in this Article XVI go into effect at midnight on August 14, 2020, and will remain in effect unless/until this policy and process are stayed, enjoined, limited, amended, repealed, or otherwise are ruled or become inapplicable in whole or in part due to action by a court or the U.S. Department of Education. Should the Title IX Regulations be revoked, any conduct covered under this Policy shall be investigated and adjudicated under this policy and the applicable processes described in Articles IV and VI.

Finally, conduct that falls outside of Title IX, such as gender-based and sexual harassment that does not meet the Title IX regulations' definition or conduct that occurs outside of the United States but still within the University's education programs or activities, may be covered by Articles IV and VI of this Policy. The University condemns all forms of sexual misconduct, even conduct that does not meet the definition of sexual harassment under Title IX. Accordingly, this Policy provides procedures for reporting and investigating sexual harassment that falls outside of Title IX's definition of sexual harassment or outside of Title IX's geographic scope but is nonetheless detrimental to the safety and wellbeing of the University community.

If you have any questions or concerns about which policy or process may apply to your case/report, please contact the UMS Title IX Coordinator at 207.581.5866 or at titleix@maine.edu for clarifications.

# Glossary:

- i. **Advisor** means a person chosen by a party or appointed by the institution to accompany the party to meetings related to the complaint process, to advise the party on that process, and to conduct cross-examination for the party at the hearing, if any.
- ii. **Complainant** means an individual or group of individuals who is alleged to be the victim of conduct that could constitute harassment based on a sex or retaliation for engaging in a protected activity. The term complainant and reporting party are used interchangeably throughout this policy.
- iii. **Complaint (formal)** means a written document, paper or electronic, filed by a Complainant or signed by the Title IX Coordinator alleging harassment or discrimination based on sex, or retaliation for engaging in a protected activity, against a respondent and requesting that the University investigate the allegation of harassment based on sex or retaliation for engaging in a protected activity. At the time of filing a formal complaint of Title IX sexual harassment, a Complainant must be participating in or attempting to participate in the education program or activity of the University with which the formal complaint is filed. The complaint must contain the Title IX Coordinator's or the Complainant's physical or digital signature, or otherwise indicate that the Complainant is the person filing the formal complaint. Where the Title IX Coordinator signs a formal complaint, the Title IX Coordinator is not considered a Complainant or otherwise a party.
- iv. **Directly Related Evidence** is evidence that in the reasoned judgment of the investigator, is determined to be connected to the complaint even if not relied upon by the investigator in the investigation report.
- v. **Education program or activity** means all of the operations of the University, as well as, locations, events or circumstances where UMS exercises substantial control over

both the Respondent and the context in which the Title IX sexual harassment occurs and also includes any building owned or controlled by a student organization that is officially recognized by the University.

- vi. **Final Determination** a written determination by a Decision-maker or Panel by the preponderance of the evidence standard whether the alleged conduct occurred and whether it did or did not violate policy, which includes all of the following: identification of the allegations potentially constituting Title IX Sexual Harassment as defined in Article XVI(1)(xii) below; a description of the procedural steps taken by the University from the receipt of the formal complaint through the determination, including any notifications to the parties, interviews with parties and witnesses, site visits, methods used to gather other evidence, and hearings held; findings of fact supporting the determination; conclusions regarding the application of the this Policy to the facts; a statement of, and rationale for, the result as to each allegation, including a determination regarding responsibility, any disciplinary sanctions imposed on the Respondent, and whether remedies designed to restore or preserve equal access to UMS's education program or activity will be provided by the University to the Complainant; and the procedures and permissible bases for the Complainant and Respondent to appeal.
- ii. **Formal Complaint Process** means a method of formal resolution designated by the University to address conduct that falls within this Title IX Sexual Harassment policy and process, and which complies with the requirements of the Title IX regulations.
- iii. **Notice** means that an employee, student, or third-party informs the Title IX Coordinator or other Official with Authority of the alleged occurrence of harassing conduct on the basis of sex.
- iv. **Official with Authority** (OWA) means an employee of UMS explicitly vested with the responsibility to implement corrective measures for harassment based on sex, and/or retaliation on behalf of UMS.
- v. **Relevant Evidence** is evidence that tends to prove or disprove an issue in the complaint.
- vi. **Remedies** are post-finding actions provided to the Complainant where a determination of responsibility for sexual harassment has been made against the Respondent after the Formal Complaint Process in this Policy as mechanisms to address safety, prevent recurrence, and restore access to UMS's educational program.
- vii.**Title IX Sexual Harassment** is the umbrella category including the offenses of sexual harassment, sexual assault, stalking, and dating violence and domestic violence as defined in Article XVI(2) below.

viii. **Title IX Team** refers to the Title IX Coordinator, Deputy Title IX Coordinators, Investigators, Hearing Decision-maker or Panel, or University provided Advisor.

## 1. Title IX Sexual Harassment Jurisdiction

Title IX jurisdiction applies when the alleged sexual harassment occurs within the context of the University's "education program or activity" which includes all of the operations of the University, and locations, events, or circumstances over which the University exercised substantial control over both the Respondent and the context in which the sexual harassment occurred, and also includes any building owned or controlled by a student organization that is officially recognized by the University.

#### 2. Title IX Sexual Harassment Definitions

The Title IX regulations define Sexual Harassment as: conduct on the basis of sex that must satisfy one or more of the following:

- a. A University employee conditions the provision of an aid, benefit, or service of UMS on an individual's participating in unwelcome sexual conduct; or
- b. Unwelcome conduct determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively denies a person equal access to UMS' education program or activity;

**Sexual Assault** is defined as having or attempting to have sexual intercourse with another individual, including: (1) by use of force or threat; (2) without effective consent; or (3) where the actor knew or should have known the individual is incapacitated by drugs and/or alcohol or was physically or mentally unable to make informed or reasonable judgments or provide consent. For purposes of this definition, sexual intercourse includes vaginal, anal or oral penetration, no matter how slight, with any body part or object, or oral penetration involving any form of mouth to genital contact. Sexual Assault includes rape, fondling, incest, or statutory rape as those crimes are defined by the Federal Bureau of Investigation (FBI) Uniform Crime Reporting Program. This definition conforms to the FBI's Uniform Crime Report and Clery Act definition and also conforms to the definition of rape under Maine law.

**Force** is the use of physical violence and/or the threat of physical violence to gain sexual access. Force also includes threats against others, intimidation (implied threats), and coercion that is intended to overcome resistance or produce consent (e.g., "Have sex with me or I'll hit you").

Sexual activity that is forced is, by definition, non-consensual, but non-consensual sexual activity is not necessarily forced. Silence or the absence of resistance alone is not consent. Consent is not demonstrated by the absence of resistance. While resistance is not required or necessary, it is a clear demonstration of non-consent.

**Coercion** is unreasonable pressure for sexual activity. Coercive conduct differs from seductive conduct based on factors such as the type and/or extent of the pressure used to obtain consent. When someone makes clear that they do not want to engage in certain sexual activity, that they want to stop, or that they do not want to go past a certain point of sexual interaction, continued pressure beyond that point can be coercive, and there is no consent.

**Incapacitation** is defined as the inability to make rational, reasonable decisions because the individual lacks capacity to give knowing consent (e.g. to understand the "who", "what"," when", "where", "why", or "how" of their sexual interaction. A person may be incapacitated because of their consumption of drugs/alcohol and/or because they are mentally/physically helpless or disabled, asleep, unconscious, or otherwise unaware that the sexual activity is occurring. Where drugs or alcohol are involved, incapacitation is a state beyond impairment or intoxication, and involves an assessment of the person's decision-making ability, awareness of consequences, ability to make informed, rational judgments, capacity to appreciate the nature and quality of the act, and/or level of consciousness. The assessment is based on objectively and reasonably apparent signs of incapacitation when viewed from the perspective of a sober, reasonable person.

Dating Violence, Domestic Violence, and Stalking, as defined above.

### Retaliation

No person may intimidate, threaten, coerce, or discriminate against any individual for the purpose of interfering with any right or privilege secured by Title IX of the Education Amendments of 1972 or its implementing regulations.

No person may intimidate, threaten, coerce, or discriminate against any individual because the individual has made a report or complaint, testified, assisted, or participated or refused to participate in any manner in an investigation, proceeding or hearing under this Title IX Policy and accompanying process

Any intimidation, threats, coercion, or discrimination, for the purpose of interfering with any right or privilege secured by Title IX or its implementing regulations constitutes retaliation. This includes any charges filed against an individual for code of conduct violations that do not involve sex discrimination or sexual harassment, but that arise from the same facts or circumstances as a report or complaint of sex discrimination or a report or formal complaint of sexual harassment, as set forth in the University's amnesty policy.

Complaints alleging retaliation may be filed with the Title IX Coordinator.

UMS reserves the right to impose any level of sanction, ranging from a reprimand up to and including suspension or dismissal/termination, for any offense under this policy.

# XVII. Review and Revision of this Policy

A representative Policy Review Board will be established consisting of University and UMS participants to review and recommend changes, as appropriate, to this policy and procedures. The Board will meet at least every three years or more often, as needed and in synchronization with the review of the University of Maine System Student Conduct Code. Revisions of this policy require approval of the Board of Trustees.

Maine law defines the crimes of assault, domestic violence, sexual assault and stalking differently than the federal Clery regulations. The State of Maine definitions of these crimes and of consent are located under the Maine Criminal Code and can be accessed online at the link below:

http://www.mainelegislature.org/legis/statutes/17-A/title17-Ach0sec0.html

## **Bystander Intervention**

**Bystander intervention** means safe and positive options that may be carried out by an individual or individuals to prevent harm or intervene when there is a risk of dating violence, domestic violence, sexual assault, or stalking. Bystander intervention includes recognizing situations of potential harm, understanding institutional structures and cultural conditions that facilitate violence, overcoming barriers to intervening, identifying safe and effective intervention options, and taking action to intervene.

#### Information on Risk Reduction

**Risk reduction** means options designed to decrease perpetration and bystander inaction, and to increase empowerment for victims in order to promote safety and to help individuals and communities address conditions that facilitate violence.

# **UMF Campus Safety Project (Office on Violence Against Women Grant)**

As of February 2021, funded by an OVW Campus Safety Grant, the UMF Campus Safety Project Coordinated Community Response Team (CCRT) has worked to create and maintain an environment conducive to healthy scholarly learning, living and working by fostering a campus culture that is both inhospitable to inter-personal, power and gender-based violence and focuses on respect and consent everywhere. To accomplish this, UMF CCRT provides the University and its surrounding community with inclusive, intersectional, culturally relevant, and trauma-focused prevention, education and comprehensive response and accountability efforts.

In 2021, the CCRT consisted of 12 members, representing a wide range of areas of the campus community [e.g., including administration, law enforcement (LE), Title IX services, student life, athletics, fitness and recreation, diversity, equity, and inclusion (DEI)]. We also have community partners from both the local Sexual Assault Prevention and Response Services (SAPARS - sexual assault coalition) and Safe Voices (domestic violence coalition), as well as the local police department (Farmington Police Department), the Franklin County District Attorney's Office (DA) as well as the local hospital (Franklin Memorial Hospital). The CCRT is a diverse, engaged group that demonstrates real commitment to the work of campus violence prevention. The CCRT has met monthly for the last year and will continue this schedule moving forward.

The CCRT returning core members are Kelly Bentley, Project Supervisor; Christine Wilson, VP for Student Affairs and Enrollment Management; Brock Caton, Director of Public Safety/Chief of Police; Sarah Carew, Housing and Deputy Title IX Coordinator; Rachel Hovel, faculty member and DEI representative (DIAT); Meagan Davis and Shawna Austin, SAPARS; Rebecca Austin and Kara Barnies, Safe Voices; Shane Cote, Farmington PD.

UMF has continued to offer its online training and, over 2021, the Primary Investigator (PI) and Project Director (PD) worked with the Deputy Title IX Coordinators and the University of Maine System (UMS) Legal Counsel to tailor existing training modules in UMF's online new student orientation.

Throughout 2021 and 2022, the Campus Safety Project worked with Safe Voices and SAPARS to adapt research-based prevention and bystander training programs tailored for UMF. This model addresses the needs of a broad array of audiences and individuals with a broad range of identities, including non-traditional students, LGBTQIA+ students, first generation students, and ethnically diverse students.

In creating the Campus Safety Project, UMF has formalized partnerships with the local domestic violence resource center (Safe Voices) and the local sexual assault agency (Sexual Assault Prevention and Response Services/SAPARS) in order to provide confidential victim services and advocacy to survivors on the UMF campus. This partnership includes collaboration in the development of referral processes, response protocols, and additional support that may be necessary in ensuring 24-hour accessibility to confidential victim services. This plan also formalizes processes and protocols for the organizations and provides clarity for UMF faculty, staff and students.

# **Criminal Investigations and Criminal Charges**

The UMF Department of Public Safety/Campus Police investigates all criminal conduct that may occur on the campus. The UMF Department of Public Safety/Campus Police has trained and experienced police officers that work directly with the Franklin County District Attorney's Office. All serious cases of criminal matters are presented to the

Franklin County District Attorney's Office for review. Violent crimes take priority over property crimes and time is of the essence.

If possible, the assailant will be apprehended, the suspect will be charged and/or arrested with the appropriate offenses as defined in the Maine Criminal Statutes. The assailant may be incarcerated or released on bail depending on the circumstances of the crime. One of the usual conditions of bail is that the suspect cannot make any attempt to contact the victim/survivor, directly or indirectly. If the victim/survivor is contacted by the suspect or feels threatened in any way, he/she is encouraged to notify the UMF Department of Public Safety/Campus Police immediately.

# **Support Services and Interim Measures**

Whether or not an individual who has experienced dating violence, domestic violence, sexual assault, or stalking files a formal complaint, reporting the incident will allow the University to provide all individuals involved with available support and services, both on-campus and off-campus.

The University can also take interim measures to promote the safety and well-being of both the complainant and respondent, including, but not limited to, moving the complainant or respondent to a new living, dining, or working situation; issuing a no contact order; changing class or work schedules; changing transportation; financial aid accommodations; and other academic and/or employment accommodations and support.

The University can assist all individuals involved in obtaining counseling on or off campus and provide information regarding medical care and other resources, such as victim advocacy, legal assistance and visa and immigration assistance.

The University will provide written notification to students and employees about existing resources, both within the University and the community. The University will provide written notification to complainants and respondents about how to request the above services and accommodations. The University must make such accommodations or provide such protective measures if the complainant requests them and if they are reasonably available, regardless of whether the complainant chooses to report the crime to campus police or local law enforcement.

## Hazing

# The University of Maine System Statement on Hazing

In accordance with the University of Maine System (UMS) Board Policy 503 and in compliance with State of Maine law as set forth in Chapter 159 of the Public Laws of 1983 (20-A M.R.S.A.10004) and the federal Stop Campus Hazing Act, which amended the Higher Education Act of 1965 and requires colleges and universities to define hazing, compile and disclose reported incidents of hazing in their annual security reports and a Campus Hazing Transparency Report, and establish transparent policies for reporting and prevention, the UMS Board of Trustees reaffirms its policy that the hazing of any student enrolled at any institution of the

University of Maine System is prohibited. Any violation of this policy, including any violation of State and/or Tribal Laws governing hazing by any UMS student or employee should be reported to the contacts listed below under "How to Report".

#### The University of Maine System Prohibition of Hazing (Board Policy 503)

In accordance with the policy of the State of Maine as set forth in Chapter 159 of the Public Laws of 1983 (20-A M.R.S.A. 10004), the Board of Trustees of the University of Maine System reaffirms its policy that the injurious hazing of any student enrolled at any institution of the University of Maine System is prohibited. In order to implement that policy and comply with State Law, the Board of Trustees of the University of Maine System adopts these rules:

- 1. No person or organization shall create, or permit to exist, any situation that recklessly or intentionally endangers the mental or physical health of a student enrolled at any institution of the University of Maine System.
- 2. Each organization affiliated with any institution of the University of Maine System shall adopt and include these rules as part of its by-laws or other governing document. Each such organization shall advise the Office of the President of each campus with which it is affiliated, in writing, within sixty days after the adoption of this policy, of such adoption and inclusion; and shall thereafter notify the Office of the President, in writing, forthwith, of any change or amendment relating to these rules or to such adoption and inclusion.
- 3. Any organization affiliated with any campus of the University of Maine System that violates these rules shall lose all right to conduct activities on any campus of the University of Maine System and all right to receive any other benefit of affiliation with any campus of the University of Maine System.
- 4. Any person associated with any institution of the University of Maine System as a student, administrator, faculty member or in any other capacity, whose conduct violates these rules, shall be subject to suspension, expulsion, or other appropriate disciplinary action.

- 5. Any person, not associated with the University of Maine System, whose conduct violates these rules shall be ejected from, and shall thereafter be banned from reentering, any campus of the University of Maine System.
- Any disciplinary action, penalty, or sanction enforced under these rules for conduct violating these rules shall be in addition to, and not in limitation of, any other civil law or criminal law process, procedure or penalty arising from the conduct concerned.
- 7. The administration and enforcement of these rules within each institution of the University of Maine System shall be the responsibility of those persons and administrative officers at each campus of the University of Maine System who have responsibility for the administration and enforcement of the Student Conduct Code. Such administration and enforcement shall be pursuant to the Student Conduct Code and in accordance with the policies and procedures including, without limitation, the appeal procedures of that Code.
- 8. A copy of these rules and of the Student Conduct Code shall be given to all students enrolled at each institution of the University of Maine System. Appropriate announcements of the existence of these rules, of the Student Conduct Code, and of the availability of copies shall be made at least annually through appropriate and usual campus notification procedures. The failure of any student, administrator, faculty member or any other person associated with the University to have received such copies or to have actual knowledge thereof shall not be justification for, or excuse, any failure to comply with the said policy or these rules.

University of Maine System Hazing & Student Organization Definitions:

Hazing includes any intentional, knowing, or reckless action or situation, including harassing behavior, or any activity expected of an individual that humiliates, degrades, abuses or endangers the individual, committed by a person (whether individually or in concert with other persons) against another person or persons regardless of the willingness of such other person or persons to participate, that is committed in the course of initiation into, an affiliation with, or the maintenance of membership in a student organization; or otherwise causes, or creates a risk, above the reasonable risk encountered in the course of participation in the institution of higher education or the organization (such as the physical preparation necessary for participation in an athletic team), of psychological or physical injury; recklessly or intentionally, including, but not limited to:

 Whipping, beating, striking, electronic shocking, placing of a harmful substance on someone's body, or similar activity;

- Causing, coercing, or otherwise inducing sleep deprivation, exposure to the elements, confinement in a small space, extreme calisthenics, or other similar activity;
- Causing, coercing, or otherwise inducing another person to consume food, liquid,
- alcohol, drugs, or other substances;
- Causing, coercing, or otherwise inducing another person to perform sexual acts;
- Any activity that places another person in reasonable fear of bodily harm through the use
- of threatening words or conduct;
- Any activity against another person that includes a criminal violation of local,
   State, Tribal, or Federal law; and
- Any activity that induces, causes, or requires another person to perform a duty or task that involves a criminal violation of local, State, Tribal, or Federal law.

**Student Organizations** are voluntary associations of University students that are student initiated and student run. Student organizations are recognized by the University and may have access to certain University - controlled benefits and resources, such as facilities use and funding eligibility. Varying opportunities and requirements exist to be a recognized student organization. University-recognized Student Organizations, including those operating under probation or suspension, are subject to the Student Conduct Code.

#### **How to Report**

- If there is reason to believe that someone is in danger you should dial 911.
- Any person who believes they have been subject to hazing, has witnessed hazing, or has knowledge of any activity or conduct which may constitute hazing is encouraged to report their concerns to the Student Conduct Officer or the University Police Department on their campus, if applicable, or submit a report using the UMS Hazing Reporting Form. Hazing is a crime and can also (or independently) be reported to the Police Department in the jurisdiction where the hazing occurred.
- Reports may be made anonymously. Please be aware that if you include any
  identifying information you may be contacted for further details. Should you
  choose to file an anonymous report, the University may be limited in responding
  to or fully investigating the complaint. Should the University take action on an
  anonymous report, the reporter is still protected from retaliation. If you file an
  anonymous report and are retaliated against, please contact the Student
  Conduct Office immediately.

- For the purposes of Clery Act reporting, the Stop Campus Hazing Act defines a student organization as any group at a higher education institution – including social clubs, societies, athletic teams, fraternities, sororities, bands, or student government – comprised of two or more enrolled students, whether officially recognized by the institution or not. Upon receiving notice of a possible violation, UMS will promptly initiate an assessment of the report to determine next steps.
- Anyone can file a report. Important details to include in a report are: names of people and/or organizations involved, including but not limited to alleged perpetrators of hazing, alleged victims, and any witnesses; dates, where it happened, a specific description of what happened.

The University's ability to investigate hazing, enforce policy, and protect students depends on accurate and specific reports. Reporting Parties are encouraged to provide as much specific detail as possible so that the reported behavior can be addressed.

#### Amnesty

Students who make a hazing complaint in good faith, or victims of hazing who participate in an investigation, will not be charged with other University policy violations that are brought to light in the course of the investigation that arose out of, or were committed as a direct result of, the hazing incident(s) under investigation (i.e. students forced to consume alcohol as part of a hazing incident will not be charged with violations of the University's alcohol policy). The University reserves the right to follow up with those students related to those issues as appropriate in a non-disciplinary setting.

#### Retaliation

It is a violation of University policy to retaliate or take adverse action towards any person for reporting an alleged violation of this policy or for cooperating with a University investigation related to any policy. Retaliation includes, but is not limited to, verbal or implied threats, physical or psychological abuse, intimidation, harassment (verbal or written), or any other action intended to create a hostile environment for the intended target of the retaliation. Students concerned about retaliation should contact the Student Conduct Office and/or the Campus Police Department, if applicable.

#### **Investigation & Adjudication of Allegations**

Upon receiving the report, the Conduct Officer or their designee will investigate as described in the UMS Student Conduct Code (Code), or refer for investigation to the appropriate office and relevant Procedures for Title IX Sexual Harassment, or the Equal Opportunity Complaint Procedures, depending on the alleged violation and the Responding Party's affiliation with the University.

The investigation will be conducted promptly, thoroughly, and impartially according to the relevant procedures.

As part of the investigation, the University may: (a) Make contact with the individual(s) bringing forward the

allegations of hazing; (b) Make contact with the individual(s) alleged to have perpetrated the hazing; © Conduct interviews with the involved parties, including those directly impacted, the accused student(s) and relevant witnesses.

#### Adjudication

Adjudication shall be conducted pursuant to the Code and/or in accordance with the System Office of Human Resources, Title IX Sexual Harassment Procedures, or Equal Opportunity Complaint Procedures, if the complaint involves University faculty or staff, alumni, contractors, vendors, or guests.

#### **Sanctions**

Sanctions for hazing violations by students or student organizations shall be administered according to the Student Conduct Code or Title IX Sexual Harassment Procedures. Sanctions for violations of this policy by faculty or staff will be in accordance with the System Office of Human Resources, Title IX Sexual Harassment Procedures, or Equal Opportunity Complaint Procedures, if the complaint involves University faculty or staff.

For information about possible disciplinary action, represented employees should refer to the appropriate collective bargaining agreement. Non-represented employees should refer to the non-represented employee handbook.

All of the possible sanctions that the University may impose upon an employee following the results of any University disciplinary proceeding include but are not limited to: oral warning, written warning, suspension, termination, or other action reasonably deemed appropriate by the University. Sanctions imposed by the University may be in addition to any penalty imposed for violation of the criminal laws and for violation of any other University regulation or policies.

#### **Policy for Reporting the Annual Disclosure of Crime Statistics**

The UMF Department of Public Safety/Campus Police prepares this report in compliance with the Jeanne Clery Disclosure of Campus Security Policy and Crime Statistics Act. The full text of this report can be located on our web site at umf.maine.edu/publicsafety/. You will also be able to connect to the Campus Police website, via the UMF home page at <a href="http://www.umf.maine.edu/">http://www.umf.maine.edu/</a> or to the direct link to the Annual Security Report (Campus Safety Report link located at the bottom of the University of Maine at Farmington homepage). This report is prepared in cooperation with the local law enforcement agencies surrounding campus, Department of Student Life and the Vice President for Student Affairs/Enrollment Management. Each entity provides updated information on their educational efforts and programs to comply with the Act. Campus crime, arrest and referral statistics include those reported to the UMF Department of Public Safety/Campus Police, designated campus security authorities, local law enforcement agencies and confidential reports received by the Director of Public Safety/Chief of Police. These statistics may also include crimes that have occurred in private residences or businesses and are not required by law. Staff at the Center for Student Development informs their clients of the procedures to report crime to the UMF Department of Public Safety/Campus Police on a voluntary or confidential basis, should they feel it is in the best interest of the client. Each year, an e-mail notification is made to all enrolled students which provide the website to access this report. Faculty and staff receive similar email notifications. Copies of the report may also be obtained at the UMF Department of Public Safety/Campus Police, located at 149 Quebec Street (across from Fitness and Recreation Center) or by calling 207-778-7400. All prospective employees may obtain a copy from the Human Resources Office located on the first floor of Merrill Hall or by calling (207) 778-7271. The web site address is attached to the UMF employment applications. Also, an abbreviated version of this report is located in the class schedule.

#### **Crime Statistics Report**

The statistical report for calendar years 2020, 2021, and 2022 is shown in this report. The following is a list of geographical locations and definitions which can provide a better understanding of how statistics are counted and categorized.

#### Clery Geography

CSA's (Campus Safety Authorities) must disclose statistics for reported Clery Act crimes that occur:

 on campus, (including crimes that occurred in on-campus student housing facilities),

- 2. in on-campus student housing facilities,
- in or on non-campus buildings or property that your institution owns or controls, and
- on public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus or immediately adjacent to and accessible from the campus.

#### **On Campus**

Definition of "on campus": For Clery Act reporting purposes, campus includes buildings and properties that meet all of the following criteria:

- Your institution owns or controls them; AND
- They are reasonably contiguous to one another; AND
- They directly support or relate to the institution's educational purposes.

"On campus" also includes:

- 1. Any building or property that is within or reasonably contiguous to the area identified in the above definition, that is owned by the institution but controlled by another person, <u>and</u> is frequently used by students <u>and</u> supports institutional purposes (such as a food or other retail vendor); and
- 2. Any building or property owned or controlled by an institution-associated foundation, holding company, subsidiary, alumni association, athletic booster club, or any other institution-associated entity that is operated in support of, or in relation to, your institution's educational purposes, And your institution is considered to be in control of that building or property.

<u>Control</u> - Means the University (or a university-associated entity) directly or indirectly rents, leases or has some other type of written agreement (including an informal written agreement such as a letter or email) for a building or property or a portion of a building or property.

Reasonably Contiguous – Means a building or property the University owns or controls that's in a location that you and your students consider to be, and treat as, part of your campus. There is no specific measurable distance used by ED anymore to determine "reasonably contiguous," but the 2016 Handbook said that generally, it is reasonable to consider locations within one mile of your campus border as reasonably contiguous.

#### **On Campus - Student Housing Facility**

Under the Clery Act, an institution that has on-campus student housing facilities must separately disclose <u>two</u> sets of on-campus statistics:

- 1. The total number of crimes that occurred on-campus, including crimes that occurred in on-campus student housing facilities; and
- 2. The number of crimes that occurred in on-campus student housing facilities as a subset of the total.

Definition of an "On-campus Student Housing Facility" - Any student housing facility that is owned or controlled by the University, or is located on property that is owned or controlled by the University, and <u>is within the reasonably contiguous geographic area</u> that makes up the campus is considered an on-campus student housing facility.

This definition includes the following types of housing:

- Undergraduate, graduate and married student housing.
- Single family houses that are used for student housing.
- Summer school student housing. Buildings that are used for student housing but also have faculty, staff or other individuals living there.
- Buildings that are owned by a third party that has a written agreement with your institution to provide student housing. It doesn't matter whether the rent is paid to the third party by the institution on behalf of the students or paid directly by the students. These locations could include buildings that are used exclusively for student housing, or hotels or apartment buildings in which a subset of the available units have been leased for student housing. In multi-use buildings that are reasonably contiguous with the campus, the portion of the building leased for student housing, as well as common areas and areas used to access the student housing, should be counted as an on-campus student housing facility. Include any unoccupied apartments that are specifically reserved for student housing. You do not have to include the apartments, rooms or floors of the building that are not reserved for student housing or apartments, or rooms or floors of the building that are reserved for faculty or staff.
- Housing for officially and not officially recognized student groups, including fraternity or sorority houses, that are owned or controlled by your institution or are located on property that your institution owns or controls.
- Parking facilities and dining halls that are physically attached to and accessed directly from student housing facilities must be included as part of the on-campus student housing facility.

#### **Public Property**

Under the Clery Act, "public property" encompasses the following: All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is:

- 1. within the campus, or
- 2. immediately adjacent to and accessible from the campus.

Public property refers to property owned by a public entity, such as a city or state government. Privately owned property can never be "public property" under the Clery Act.

The first is public property within your campus. An example is a public road or public bike path that runs through the campus. You must report statistics for crimes that occur on the section of the road or bike path that touches your campus. A private road or private driveway running through your campus is <u>not</u> public property. A public or municipal parking lot that bisects your campus is part of your public property.

The second area is public property that immediately borders and is accessible from the campus. In many cases, this property consists of a public sidewalk that borders the campus, the public street along the sidewalk and the public sidewalk on the other side of the street (i.e., sidewalk, street, sidewalk). Again, only the portions of the sidewalk, street and sidewalk that are adjacent to your campus are included in your public property. Your public property does not include anything beyond the second sidewalk. (If there isn't a second sidewalk, it doesn't include anything beyond the street.)

#### **Non-campus Property**

- 1. Any building or property that is not part of the campus, does not fit the definition of a separate campus, and is:
  - Owned or controlled by the University
  - Used in direct support of or in relation to the University's educational purposes, AND
  - Is frequently used by students.
- 2. Any building or property owned or controlled by a student organization that is officially recognized by the University.

This is the <u>only</u> Clery-reportable location not owned or controlled by the University. Count crimes that occur in the house and in the yard, i.e., all property owned or controlled by the student organization.

**NOTE:** If the building or land that the student organization's building is built on is within the core campus or reasonably contiguous to the campus and is owned or controlled by the University, it is counted as **ON-CAMPUS** <u>AND AS AN</u> **ON-CAMPUS STUDENT HOUSING FACILITY** if students live in the building.

#### **Definitions of Crimes – FBI National Incident-Based Reporting System (NIBRS)**

In addition to the statistical profile presented at the web address and in this document, a list of definitions is included and provides basic information about each crime category. For a full list of NIBRS definitions, please visit the link below:

https://ucr.fbi.gov/nibrs/2018/resource-pages/nibrs\_offense\_definitions-2018.pdf

**Homicide Offenses**—The killing of one human being by another

**Murder and Non-negligent Manslaughter**—The willful (non-negligent) killing of one human being by another.

**Negligent Manslaughter**—The killing of another person through gross negligence.

**Justifiable Homicide**—The killing of a perpetrator of a serious criminal offense by a law enforcement officer in the line of duty, or the killing, during the commission of a serious criminal offense, of the offender by a private individual.

**Sex Offenses (NIBRS 11A-11D Definitions)**—Any sexual act directed against another person, without the consent of the victim, including instances where the victim is incapable of giving consent.

**Rape**— (Except Statutory Rape) The carnal knowledge of a person, without the consent of the victim, including instances where the victim is unable to give consent because of his/her age or because of his/her temporary or permanent mental or physical incapacity.

**Sodomy**—Oral or anal sexual intercourse with another person, without the consent of the victim, including instances where the victim is unable to give consent because of his/her age or because of his/her temporary or permanent mental or physical incapacity.

**Sexual Assault With An Object**—To use an object or instrument to unlawfully penetrate, however slightly, the genital or anal opening of the body of another person, without the consent of the victim, including instances where the victim is unable to give consent because of his/her age or because of his/her temporary or permanent mental or physical incapacity.

**Fondling**—The touching of the private body parts of another person for the purpose of sexual gratification, without the consent of the victim, including instances where the

victim is unable to give consent because of his/her age or because of his/her temporary or permanent mental or physical incapacity.

Sex Offenses (NIBRS 36A-36B, 360 Definitions)—Unlawful sexual intercourse

**Incest**—Nonforcible sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.

**Statutory Rape**—Nonforcible sexual intercourse with a person who is under the statutory age of consent.

Assault Offenses—An unlawful attack by one person upon another

**Aggravated Assault**—An unlawful attack by one person upon another wherein the offender uses a dangerous weapon or displays it in a threatening manner or the victim suffers obvious severe or aggravated bodily injury, or where there was a risk for serious injury/intent to seriously injure.

**Simple Assault**—An unlawful physical attack by one person upon another where neither the offender displays a dangerous weapon, nor the victim suffers obvious severe or aggravated bodily injury involving apparent broken bones, loss of teeth, possible internal injury, severe laceration, or loss of consciousness.

**Intimidation**—To unlawfully place another person in reasonable fear of harm through the use of threatening words and/or other conduct without displaying a dangerous weapon or subjecting the victim to an actual physical attack.

**Robbery**—The taking of anything of value from the control, custody, or care of another person by force or threat of force and/or by putting the victim in fear of immediate harm.

**Burglary/Breaking and Entering**—The unlawful entry into a building or some other structure to commit a felony or a theft.

**Larceny/Theft Offenses**—The unlawful taking, carrying, leading, or riding away of property from the possession or constructive possession of another person.

**Motor Vehicle Theft**—The theft of a motor vehicle.

**Arson**—To unlawfully and intentionally damage or attempt to damage any real or personal property of another person or entity by fire or incendiary device.

**Destruction/Damage/Vandalism of Property**— (Except Arson) To willfully or maliciously destroy, damage, deface, or otherwise injure any public or private property without the consent of the owner or the person having custody or control of it.

**Weapon Law Violations**—The violation of laws or ordinances prohibiting the manufacture, sale, purchase, transportation, possession, concealment, or use of firearms, cutting instruments, explosives, incendiary devices, or other deadly weapons.

**Drug/Narcotic Offenses**— (Except Driving Under the Influence) The violation of laws prohibiting the production, distribution, and/or use of certain controlled substances and the equipment or devices utilized in their preparation and/or use.

**Drug/Narcotic Violations**—The unlawful cultivation, manufacture, distribution, sale, purchase, use, possession, transportation, or importation of any controlled substance.

**Drug Equipment Violations**—The unlawful manufacture, sale, purchase, possession, or transportation of equipment or devices utilized in preparing and/or using drugs or narcotics.

**Liquor Law Violations**— (Except Driving Under The Influence and Drunkenness) The violation of laws or ordinances prohibiting the manufacture, sale, purchase, transportation, possession, or use of alcoholic beverages.

# **Crime Statistics**

Department of Public Safety/Campus Police				
149 Quebec Street	Criminal Offenses:	On-Campus		
Farmington, Maine 04938				
207-778-7400				
Criminal Offenses	2022	2023	2024	
Murder/Non-negligent manslaughter	0	0	0	
Negligent manslaughter	0	0	0	
Rape	9	5	0	
Fondling	1	1	3	
Incest	0	0	0	
Statutory Rape	0	0	0	
Robbery	0	0	0	
Aggravated assault	0	0	0	
Burglary	3	0	1	
Motor Vehicle theft	1	1	0	
Arson	0	2	0	
Domestic Violence	1	0	0	
Dating Violence	1	1	5	
Stalking	2	9	5	

Department of Public Safety/Campus Police				
149 Quebec Street	Criminal Offenses:	Student Housi	ng Facility	
Farmington, Maine 04938	Onones.	Ottagon 110gon	ing r domey	
207-778-7400				
Criminal Offenses	2022	2023	2024	
Murder/Non-negligent manslaughter	0	0	0	
Negligent manslaughter	0	0	0	
Rape	9	5	0	
Fondling	1	1	2	
Incest	0	0	0	
Statutory Rape	0	0	0	
Robbery	0	0	0	
Aggravated assault	0	0	0	
Burglary	1	0	1	
Motor Vehicle theft	0	0	0	
Arson	0	2	0	
Domestic Violence	1	0	0	
Dating Violence	1	1	4	
Stalking	2	7	3	

149 Quebec Street Farmington, Maine 04938 207-778-7400 Criminal Offenses:

Non-Campus

Criminal Offenses	2022	2023	2024
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Domestic Violence	0	0	0
Dating Violence	0	0	0
Stalking	0	0	0

149 Quebec Street Farmington, Maine 04938 207-778-7400 Criminal Offenses:

Public Property

Criminal Offenses	2022	2023	2024
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	1
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	1
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Domestic Violence	0	0	0
Dating Violence	0	0	0
Stalking	0	1	0

149 Quebec Street Farmington, Maine 04938 207-778-7400. Hate Crimes: On-Campus

Criminal Offenses	2022	2023	2024
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Simple Assault	0	0	0
Larceny – Theft	0	0	0
Intimidation	1	0	0
Destruction/Damage/Vandalism of Property	0	0	0

Hate Crimes:

149 Quebec Street Farmington, Maine 04938 207-778-7400. Student Housing Facility

Criminal Offenses	2022	2023	2024
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Simple Assault	0	0	0
Larceny – Theft	0	0	0
Intimidation	1	0	0
Destruction/Damage/Vandalism of Property	0	0	0

Hate

149 Quebec Street Farmington, Maine 04938 207-778-7400 Crimes: Non-Campus

Criminal Offenses	2022	2023	2024
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Simple Assault	0	0	0
Larceny – Theft	0	0	0
Intimidation	0	0	0
Destruction/Damage/Vandalism of Property	0	0	0

Hate

149 Quebec Street Farmington, Maine 04938 207-778-7400 Crimes: Public Property

Criminal Offenses	2022	2023	2024
Murder/Non-negligent manslaughter	0	0	0
Negligent manslaughter	0	0	0
Rape	0	0	0
Fondling	0	0	0
Incest	0	0	0
Statutory Rape	0	0	0
Robbery	0	0	0
Aggravated assault	0	0	0
Burglary	0	0	0
Motor Vehicle theft	0	0	0
Arson	0	0	0
Simple Assault	0	0	0
Larceny – Theft	0	0	0
Intimidation	0	0	0
Destruction/Damage/Vandalism of Property	0	0	0

149 Quebec Street Farmington, Maine 04938 207-778-7400

Criminal Offenses	2022	2023	2024
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	0	0	0

Arrests:

On-Campus

149 Quebec Street Farmington, Maine 04938 207-778-7400

Criminal Offenses	2022	2023	2024
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	0	0	0

Arrests: Student Housing Facility

149 Quebec Street Farmington, Maine 04938 207-778-7400 Arrests: Non-Campus

Criminal Offenses	2022	2023	2024
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	0	0	0

149 Quebec Street Farmington, Maine 04938 207-778-7400

2022	2023	2024	
0	0	0	

Arrests:

Public Property

Criminal Offenses	2022	2023	2024
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	1	0	0

149 Quebec Street Farmington, Maine 04938

207-778-7400

Disciplinary Actions: On-Campus

Criminal Offenses	2022	2023	2024
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	17	22	13
Liquor Law Violations	23	25	50

149 Quebec Street Farmington, Maine 04938

207-778-7400

Disciplinary Actions: Student Housing Facility

Criminal Offenses	2022	2023	2024
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	17	22	13
Liquor Law Violations	23	25	50

Disciplinary Actions:

149 Quebec Street Farmington, Maine 04938 207-778-7400 Non-Campus

Criminal Offenses	2022	2023	2024
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	0	0	0

149 Quebec Street Farmington, Maine 04938 207-778-7400 Disciplinary Actions

Public Property

Criminal Offenses	2022	2023	2024
Weapons: Carrying, Possessing, Etc.	0	0	0
Drug Law Violations	0	0	0
Liquor Law Violations	0	0	0

# Campus Emergency Response and Evacuation Procedures

#### **Emergency Response**

The University of Maine at Farmington Emergency Operations Center (EOC) Team is responsible for developing contingency plans and continuity of operations plans for all staff and students on campus. The University normally conducts annual exercises, which have included table top exercises, functional exercises, full scale exercises and tests of the emergency notification systems on campus. These tests are designed to assess and evaluate the emergency plans and capabilities of the university. This practice of annual EOC exercises has been temporarily suspended, due to the ongoing activation of the EOC to address the COVID-19 Pandemic, but will resume once normal University operations no longer require the UMF EOC to remain in a steady activation state.

The UMF EOC and Department of Public Safety/Campus Police members are trained on the National Incident Command System (NIMS) and respond to critical incidents on campus. When a serious incident occurs that causes an immediate threat to the campus, the first responders on the scene are usually the members of the UMF Department of Public Safety/Campus Police, the Farmington Police Department and the Farmington Fire Department. They all respond and work together to manage the incident. Depending on the nature of the incident, other University of Maine at Farmington departments, as well as other local, state, federal and private agencies may also be involved in responding to and assisting with the management of the incident.

General information about the emergency response and evacuation procedures for University of Maine Farmington are published each year as part of the institution's Clery compliance efforts and that information is available on the University of Maine at Farmington Department of Public Safety/Campus Police website (https://www.umf.maine.edu/publicsafety/). The University of Maine at Farmington also has developed a Campus Safety dropdown menu on the University's intranet(accessible to faculty/staff/students), MyCampus (https://mycampus.maine.edu/group/umf/home), which has valuable information on emergency contacts, evacuation procedures, general information, information and notifications, protective actions, a link to the Public Safety website, reporting emergencies and the Title IX reporting form.

#### **Notification**

All members of the University of Maine at Farmington campus community are notified on an annual basis that they are required to inform the University of Maine at Farmington Department of Public Safety/Campus Police of any situation or incident on campus that involves a significant emergency or dangerous situation that may involve an immediate or ongoing threat to the health and safety of students and/or employees on campus. UMF Department of Public Safety/Campus Police have the responsibility of responding to and gathering the necessary resources to mitigate, investigate and document any situation that may cause a significant emergency or dangerous situation. In addition, the UMF Department of Public Safety/Campus Police has the responsibility to respond to such incidents to determine if the situation does in fact pose a threat to the community. If that is the case, Federal Law requires that the institution immediately notify the campus community or the appropriate segments of the community that may be affected by the situation.

In the event of a serious incident, which poses an immediate threat to members of the University of Maine at Farmington, the UMF Department of Public Safety/Campus Police will immediately notify the Director of Public Safety/Chief of Police and then the UMF Emergency Operations Center. First responders will confirm that there is an emergency or dangerous situation that poses an immediate threat to the health or safety of some or all members of the University of Maine at Farmington Campus Community. The Emergency Operations Center will collaborate to determine the content of the message and will use some, or all, of the systems described below to communicate the threat to the University of Maine at Farmington Campus Community or to the appropriate segment of the community, if the threat is limited to a particular building or segment of the population. The Incident Commander will, without delay, take into account the safety of the community, determine the content of the notification and initiate the notification system, unless issuing a notification will, in the judgment of the first responders including, but not limited to: UMF Department of Public Safety/Campus Police, the Farmington Police Department, the Farmington Fire Department and NorthStar Emergency Medical Services compromise the efforts to assist a victim or to contain, respond to, or otherwise mitigate the emergency.

In the event of a serious incident which poses an immediate threat to members of the University of Maine at Farmington campus community, the University has various systems in place for communicating information quickly to those individuals. Some or all of these methods of communication may be activated in the event of an immediate threat to the campus community. These methods of communication include:

- MyCampus: UMF's online directory/source of information of everything that a campus community member may need.
- Directed Communications SMS / EMAIL / CAPS: University email and/or Blackboard Connect mass notification platform alerting students, faculty, and staff.
- **Flyers**: posted on bulletin boards, exterior doors in academic buildings, residence halls, bulletin boards and administrative buildings.
- University of Maine at Farmington Department of Public Safety/Campus Police website: https://www.umf.maine.edu/publicsafety/
- University of Maine at Farmington Emergency Notification System
   (VISIPLEX): The Visiplex Notification System has been installed in both internal
   and external locations throughout campus and is used when there is imminent
   danger to the community. If the notification system is activated, the campus
   community will be issued specific instructions on how to react to the incident in
   question. Other messaging systems will also be used with the Visiplex System
   (I.e., the email or SMS alerts) to assist with providing these instructions.

#### **Emergency Evacuation Procedures**

An evacuation drill is coordinated by the UMF Department of Public Safety/Campus Police, the UMF Department of Facilities Management and the Farmington Fire Department once each semester for all residential facilities. Students are required to learn the locations of the emergency exits in the buildings and are provided guidance and direction when exiting each facility for a short-term evacuation. Residents are not advised in advance about the designated locations for long-term evacuations because those decisions are affected by time of day, location of the building being evacuated, the availability of the designated emergency gathering locations on campus, and other factors such as the location and nature of the threat. The UMF Department of Public Safety/Campus Police and Student Life staff on scene will communicate the proper procedure to students regarding the developing situation or any evacuation status changes.

The purpose of evacuation drills is to better prepare occupants of a building for an organized evacuation in case of a fire, or any other emergency. Evacuation drills are used as a way to educate and train UMF community members on fire safety issues specific to the building they work or live. During the drill, occupants practice procedures, and familiarize themselves with the location of exits and the sound of the fire alarm. In addition to educating the occupants of the buildings about evacuation procedures, the process also provides the University an opportunity to test the operation of fire alarm systems.

#### Lockout

This directive occurs when the threat is outside the building, and the first action is to secure the perimeter. Windows and doors will be locked with no outside activities allowed. The goal is to get students, staff, and visitors inside and keep the threat outside.

#### Lockdown

This is a temporary sheltering technique, ranging from minutes to hours, to minimize exposure to an "active shooter" or other similar incident. When alerted, lock all doors and windows, close blinds, if possible, turn off lights, and do not allow entry or exit to anyone until notified to do so by emergency personnel or a university official.

#### Shelter

This is a safe space that will protect occupants from a variety of hazards, such as debris impact, accidental or intentional explosive detonation, or the accidental or intentional release of a toxic substance into the air. These spaces may also be used to protect individuals from outside entities, unsafe weather conditions, or unforeseen circumstances. If a university official directs you to a shelter, she or he will specify whether it is Dearborn Gymnasium and/or the Fitness and Recreation Center, and ask that you go there directly.

#### Shelter-in-Place

This is a precaution aimed to keep you safe right where you are indoors. It means selecting an interior space, with no or few windows, and taking refuge there. This is usually directed when there is little time to give notice and the threat is imminent.

#### **Evacuate**

This means the removal of residents or occupants from a building or area for reasons of safety or precaution, impending weather, and/or received threats or breaches of security. When you are told to evacuate your building, go immediately to your designated meeting point, which will be identified, and remain there until otherwise instructed by a Public Safety Officer, other emergency personnel, or a university official.

#### Isolation

Separates sick people with a contagious disease from people who are not sick.

#### Quarantine

This separates and restricts the movement of people who were exposed to a contagious disease to see if they become sick.

#### **Weapons Policy**

The UMF Department of Public Safety/Campus Police is committed to protecting the health and safety of all members of the University community in its operations and activities. In order to ensure a safe environment, the University of Maine at Farmington prohibits the wearing, transporting, storage, or presence of firearms, ammunition or other dangerous weapons on all property owned by the university. All duly sworn law enforcement personnel engaged in official duties or military personnel engaged in military activities sponsored by the federal or state government are exempt from this policy.

#### **Definition**

A weapon is a firearm or other weapon, device, instrument, material, or substance which is capable of producing death or serious bodily injury. This may include, but is not limited to devices from which a projectile (e.g., arrow, ball, bullet, missile, pellet, shell, or other material) may be fired, certain knives (e.g., 4" blade or longer, switchblades, etc.), brass knuckles, martial arts weapons and objects used as clubs (e.g., batons, asps, etc.) that pose reasonable risk of injury.

#### Implementation

All violations of this policy need to be reported to the UMF Department of Public Safety/Campus Police immediately. Any employee in possession of a firearm, ammunition, or other dangerous weapons while on university owned property or while otherwise fulfilling his or her job responsibilities, may face disciplinary action up to and including dismissal. Any visitor violating this policy shall be subject to the application of the criminal trespass provisions and/or the enforcement of the dangerous weapons laws of the State of Maine. The "Constitutional Concealed Carry Law" (Maine Public Law 2015, Chapter 327 (LD 652)) and the possession of a valid concealed weapons permit authorized by the State of Maine are not exemptions under this policy.

Any student or employee residing in the residence halls who wishes to bring hunting rifles or shotguns on campus need to coordinate with the Director of Public Safety/Chief of Police before doing so. All hunting rifles or shotguns will be securely stored at the Public Safety office and signed out on the day the individual wishes to use the firearm

for hunting. When the individual is done hunting for the day, he or she will coordinate with the campus police to secure the firearm immediately upon arriving back to campus.

Any questions or concerns regarding this policy can be directed to the Director of Public Safety/Chief of Police at <a href="maintenant">brock.caton@maine.edu</a> or by calling the UMF Department of Public Safety/Campus Police at 207-778-7400.

# **Annual Fire Safety Report**

#### For all campus owned residential facilities

If a fire occurs in a University of Maine at Farmington building, community members should immediately notify UMF Department of Public Safety/Campus Police at 207-778-7400. UMF Department of Public Safety/Campus Police will initiate a response by contacting the Franklin County Communication Center (dispatch), which in turn will initiate a response from the Farmington Fire Department and the UMF Department of Facilities Management. If a member of the campus community finds evidence of a fire that has been extinguished, and the person is not sure whether the Fire Department is or has already responded, the community member should immediately notify the UMF Department of Public Safety/Campus Police and the Department of Facilities Management to investigate and document the incident.

The fire alarm systems on campus are meant to alert community members of a potential hazard. All community members are required to heed their warning, and evacuate immediately upon hearing a fire alarm. Use the nearest stairwell and/or exit to leave the building immediately. UMF Community Members should familiarize themselves with the exits in each building.

UMF Department of Public Safety/Campus Police publishes this fire safety report with its annual Clery compliance documents. These documents contain information with respect to the fire safety standards for the University of Maine at Farmington. This report includes statistics concerning:

- the number of fires,
- the cause of each fire,
- the number of injuries and/or death related to a fire,
- the cost of the property damage caused by a fire.

#### **Fire Protection Equipment/Systems**

The majority of university buildings are equipped with automatic fire detection, alarm systems, sprinkler and hood suppression systems. All residence halls and the Olsen Student Center are now on automatic call to Farmington Fire Department.

#### **Fire Evacuation Plan**

- 1. All faculty, staff and students are required to evacuate the building when the alarm has sounded and proceed to the nearest exit in an orderly fashion and go directly to the predetermined evacuation site.
- 2. The last individual exiting a room should close the door and proceed to the nearest exit. If smoke hampers your means of egress, find an alternate route.
- 3. Never use an elevator when evacuating the building, as smoke will rise into the elevator shaft, placing everyone inside at serious risk. Handicapped individuals should proceed to the nearest staircase and wait for assistance.
- 4. Do not re-enter the building until the fire alarm has been silenced and UMF Department of Public Safety/Campus Police or the Farmington Fire Department emergency personnel have indicated that it is safe to re-occupy. Always inform the UMF Department of Public Safety/Campus Police if anyone has been injured or cannot account for their presence.

#### **Fire Reporting Procedures**

The following procedures are guidance to anyone on campus who discovers a FIRE:

- 1. Proceed to the nearest emergency call box to activate the fire alarm system, and call the UMF Department of Public Safety/Campus Police or Emergency Dispatch at 911.
- 2. Provide the officer your name, the building name, the location of the fire, and if there are any injuries.
- 3. If the fire is small and you have properly trained, use a fire extinguisher to put the fire out.

#### **Emergency Evacuation Procedures for Individuals with Disabilities**

- 1. If the occupant, resident, or visitor is located above or below the ground floor, **do not use the elevator**.
- 2. If the occupant, resident, or visitor is located on the ground floor of the building, exit through the closest means of egress.

3. Call the UMF Department of Public Safety/Campus Police and give the officer your name, exact location, room number and telephone number. Remain by the phone until firefighters come and assist persons who are unable to evacuate.

An emergency situation exists when a fire alarm system activates. Regardless of the cause, all emergency procedures must be followed. Occupants shall exit the building in an orderly fashion; the Farmington Fire Department (via the 9-1-1 system) will be notified. The UMF Department of Facilities Management as well as the Department of Public Safety/Campus Police will be advised. Campus Police personnel will remain on site to assist the Farmington Fire Department as needed until the source of the activation is known and the most senior fire department official deems an emergency no longer exists.

A maintenance issue exists when a fire alarm panel shows a trouble light or alarm, but the system was not activated. When this situation occurs, the individual that discovered the trouble shall contact the Facilities Management office immediately. A licensed electrician will investigate the source of the problem, make repairs and reset the alarm panel. Other than a licensed electrician, no other staff, faculty or students shall reset a fire alarm that is in trouble.

#### **Health and Safety Inspections**

The UMF Department of Facilities Management performs Residence Hall health and safety inspections annually. The inspections are primarily designed to find and eliminate safety violations. The inspection will include, but not be limited to, a visual examination of electrical cords, sprinklers heads, smoke detectors and other safety systems.

In addition, the UMF Department of Student Life examines each room for the presence of prohibited items (e.g., sources of open flames, such as candles, non-surge protected extension cords, halogen lamps, portable cooking appliances in non-cooking areas, etc.) or prohibited activity (e.g., smoking in the room, tampering with life safety equipment, possession of pets, etc.) throughout the academic year.

#### **Housing Policies**

#### Cooking

Cooking is among the most frequent causes of residential fires. Cooking is not permitted in student rooms. Cooking may only be done in designated kitchen areas and must not be left unattended. Appliances with exposed burners (e.g., hotplates) are not permitted in residential buildings. Items with enclosed heating elements may only be used in designated kitchen areas. This includes, but is not limited to, use of appliances

such as toasters, toaster ovens, frying pans, deep fat fryers, grilling machines, waffle irons and slow cookers. An alarm set off because a student has been cooking in their room will be subject to a fine.

#### Flammable Materials

Candles, incense or any source of open flame, or ignition are prohibited in a student's residence. Flammable materials such as gasoline, camp stove fuel, paint solvents, propane, butane, charcoal lighter fluid or other highly flammable material may not be stored or used in student residences and will be removed if found.

#### **Electrical Appliances**

Electric line load limitations prohibit the use of heavy-demand appliances in student rooms. Residential students are prohibited from bringing their own refrigerators onto campus, the University provides micro-refrigerators in all Residence Hall rooms. Larger sized refrigerators, keg refrigerators, coolers, air conditioners and space heaters are prohibited and will be removed if found.

Light-demand electrical items, such as radios, stereos, alarm clocks and televisions may be used within the limitations of safe operating conditions. Please contact the Department of Facilities Management for guidance on the use of multiple appliances. All electrical items should be unplugged if the student room will not be occupied for an extended period of time such as during breaks.

#### **Electrical Safety**

Any electrical device which is modified after purchase is prohibited and will be removed. Students may not add wiring, remove, or alter existing wiring or use unsafe wiring devices. Outlet expanders are prohibited and will be confiscated. Thin wire extension cords used for any purpose other than extending a single, low wattage item will be removed. Overloading thin wire extension cords can lead to overheating and fire. Do not plug power strips into thin wire extension cords. In no case should power strips be plugged into other power strips.

No current-carrying wire will be run under carpets or be taped across walking paths, door thresholds or through doorways where pinching or other damage can result. Fines may be issued for unsafe wiring practices.

#### **Battery Safety in Laptops and Electronics**

Laptop computers and cellphones have become an integral part of our academic and everyday lives. As we rely on these devices for various tasks, it's crucial to be aware of the potential safety hazards associated with electronic batteries. Please take a moment to read over the following battery safety measures to ensure a safe computing environment on campus.

#### **Battery Basics:**

Lithium-ion Batteries: Most laptops use lithium-ion batteries, which are efficient and lightweight but can pose safety risks if not handled properly. Laptop batteries have a limited lifespan and can degrade over time. Pay attention to battery warnings. Most devices will notify you if there is an issue with your battery and when service is recommended, seek guidance from the Help Desk if necessary.

#### **Charging Safety:**

Use Original Chargers: Always use the manufacturer's original charger or a reputable replacement to prevent overcharging or overheating.

Avoid Overcharging: Do not leave your devices plugged in constantly, as overcharging can damage some batteries and pose a fire risk. (This does not apply to University Provided Chargers/Docking Stations, these will stop charging once a device is at full capacity).

#### **Physical Safety:**

Avoid Physical Damage: Protect your laptop from physical shocks or impacts, as this can damage the battery and other components. Be Aware: If you notice any swelling in your laptop or cell phone case it could be a sign of a faulty battery. Report this to Facilities right away. Facilities will coordinate with Campus IT to determine if a device is safe or needs action.

#### **Fire Safety:**

Never Puncture or Disassemble: Do not attempt to disassemble, puncture, or tamper with laptop batteries, as this can lead to fires, chemical exposure, or other hazards.

Fire Extinguisher Awareness: Familiarize yourself with the location of fire extinguishers in your area and how to use them in case of an emergency.

#### **Emergency Procedures:**

Smoke and Fire: In case of smoke, fire, or a burning smell coming from your laptop, immediately **Leave the area and dial 911**.

Fire Alarms: If a fire alarm sounds, leave the building quickly and safely, leaving personal belongings behind.

Ensuring laptop battery safety is everyone's responsibility. By following these guidelines, we can create a safer computing environment for UMF. Remember, safety is paramount, and taking proactive measures can prevent accidents and protect lives.

#### Stay safe and responsible while using your laptops on campus!

#### **Fire Protection and Combustible Materials**

A fire in a campus residence is a disaster that can be avoided by the mutual effort of residents and staff. The misuse of fire safety equipment (e.g., fire escapes, fire extinguishers, smoke detectors, sprinkler systems and fire alarms) is not permitted and is a violation of Public Safety/Campus Police laws in the State of Maine. Smoke detectors, sprinkler heads and fire alarms may not be covered with tapestries or any other materials. Nothing may be attached to or hung from sprinkler pipes or sprinkler heads. A \$50.00 fine will be assessed along with the repair/replacement cost of any life safety system that is tampered with or damaged. All students should become familiar

with policies regarding life and fire safety, administered by the Department of Facilities Management.

#### **Smoking**

Smoking or the burning of any type of pipe, cigar and cigarette or similar product is prohibited in all campus buildings including residence halls and houses and their stairwells, porches, or garages. In 2011, the University of Maine at Farmington decided to go "Tobacco Free." Smoking and all tobacco products are now prohibited on the entire UMF campus.

The presence of ash-filled ash trays, hookahs and water pipes will be considered proof of smoking in rooms and will be reported to the UMF Department of Public Safety/Campus Police. Water pipes and hookahs may be confiscated.

#### **Fire Safety Tips**

- 1. Fire Drills/Evacuation
  - a. Never assume it's a false alarm.
  - b. Know the safest and quickest route out of your building.
  - c. Always move as quickly and safely as you can.
  - d. Showers are not safe places during a fire.
  - e. Know where to gather to get a head count.
- 2. Fire Safety Systems and Equipment
  - a. Never hang items or cover sprinkler piping or heads.
  - b. Know locations of the nearest alarm pull station.
  - c. Never tamper with or cover smoke detectors.
- 3. Prevention
  - a. Maintain a clear path through your room to exit door.
  - b. Never cook in your room.
  - c. Never use candles.
  - d. Avoid placing extension cords under carpeting.
  - e. Always plug personal items into power strips.
  - f. Avoid using thin wire extension cords.
  - g. Outlet adapters are prohibited.
  - h. Flammable materials and combustion source devices are prohibited in all resident halls.
  - Always keep hallways free of personal items and trash.

A daily fire log is available for review upon written request to the Director of Public Safety/Chief of Police at <a href="mailto:brock.caton@maine.edu">brock.caton@maine.edu</a> or can be reviewed in person by

appointment at the UMF Department of Public Safety/Campus Police from 8:00 a.m. to 4:00 p.m. Monday through Friday. The information in the fire log typically includes the nature, date, time, and general location. To review, submit a verbal or written request to the Director of Public Safety/Chief of Police during business hours.

## **Fire Statistics**

University of Maine at Farmington				
Statistics and Related Information Regarding Fires in Student Housing Facilities		DATE / TIME	NATURE OF FIRE / GENERAL LOCATION	INJURIES
Year	2022	<u> </u>	<u> </u>	intoGittiEG
Scott Hall South	0			0
Scott Hall West	0			0
Scott Hall North	0			0
Mallett Hall	0			0
Purington Hall	0			0
Stone Hall	0			0
Lockwood Hall	0			0
Dakin Hall	0			0
Black Hall	0			0
Year	2023			
Scott Hall South	0			0
Scott Hall West	0			0
Scott Hall North	0			0
Mallett Hall	0			0
Purington Hall	0			0
Stone Hall	0			0
Lockwood Hall	0			0
Dakin Hall	2	2/23/23 - 0038 Hrs / 2/26/2203 - 0343 Hrs	Suspected Arson (Burnt Toilet Paper) - 4th Floor Bathroom (Dakin Hall) = \$0-\$99 Damage / Suspected Arson (Burnt Window Curtain) - 4th Floor Bathroom (Dakin Hall) = \$100-\$199 Damage	0
Black Hall	0			0
Year	2024			
Scott Hall South	0			0
Scott Hall West	0			0
Scott Hall North	0			0
Mallett Hall	0			0
Purington Hall	0			0
Stone Hall	0			0
Lockwood Hall	0			0
Dakin Hall	0			0
Black Hall	0			0

Fire Safety Systems	Fire Alarm Monitoring	Full Sprinkler System	Smoke Detection	Fire Extinguishers	Evacuation Plans/Placards	Number of (Fire) Drills
Scott Hall South	yes	yes	yes	yes	yes	2
Scott Hall West	yes	yes	yes	yes	yes	2
Scott Hall North	yes	yes	yes	yes	yes	2
Mallet Hall	yes	yes	yes	yes	yes	2
Purington Hall	yes	yes	yes	yes	yes	2
Stone Hall	yes	yes	yes	yes	yes	2
Lockwood Hall	yes	yes	yes	yes	yes	2
Dakin Hall	yes	yes	yes	yes	yes	2
Black Hall	yes	yes	yes	yes	yes	2